



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL



UNC  
SCHOOL OF MEDICINE

# Mechanisms of TES: Neurophysiology

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Department of Neurology

Neuroscience Center

North Carolina State University

Department of Electrical and Computer Engineering

University of Bern

Department of Neurology

[www.networkneuroscientist.org](http://www.networkneuroscientist.org)



@FrohlichLab

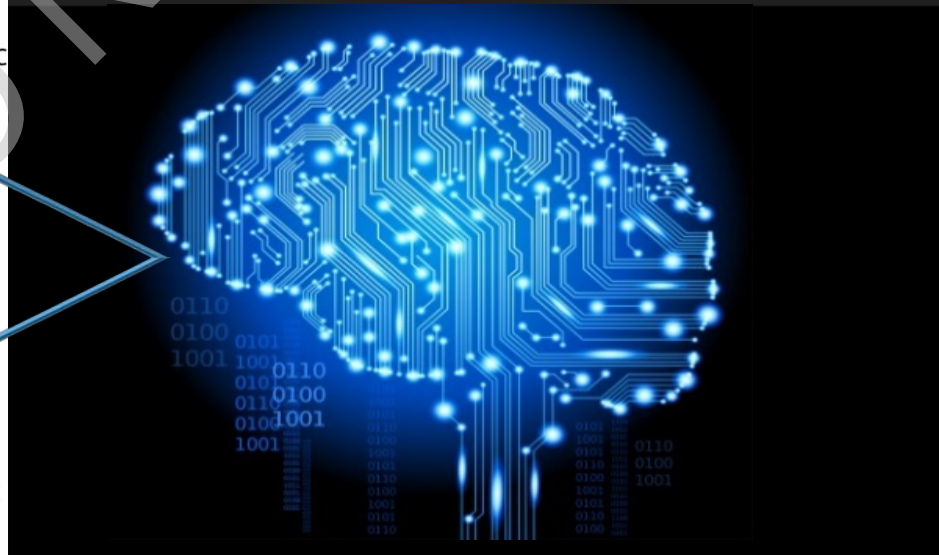
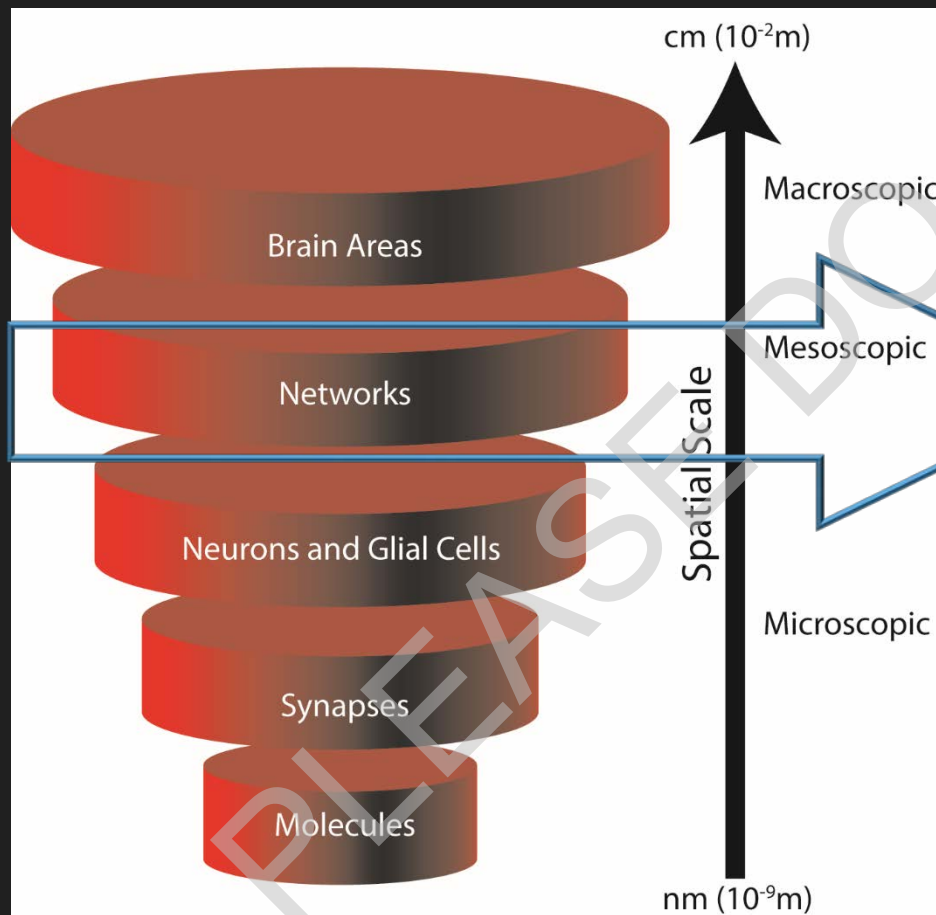
# Conflicts of Interest

- UNC owns IP for my inventions in the field of brain stimulation.
- UNC has determined a “**COI with administrative considerations**” for our treatment clinical trials.
- I am the founder, chief scientific officer, and majority owner of Pulvinar Neuro LLC (paid as consultant).
- I speak with many companies and have received industry funding from Tal Medical (travel + research + consulting).
- I frequently travel and give presentations. I typically receive reimbursement and a stipend.
- I receive an annual royalty payment for sales of my book “Network Neuroscience” from Elsevier.

# Standing on the Shoulders of Giants

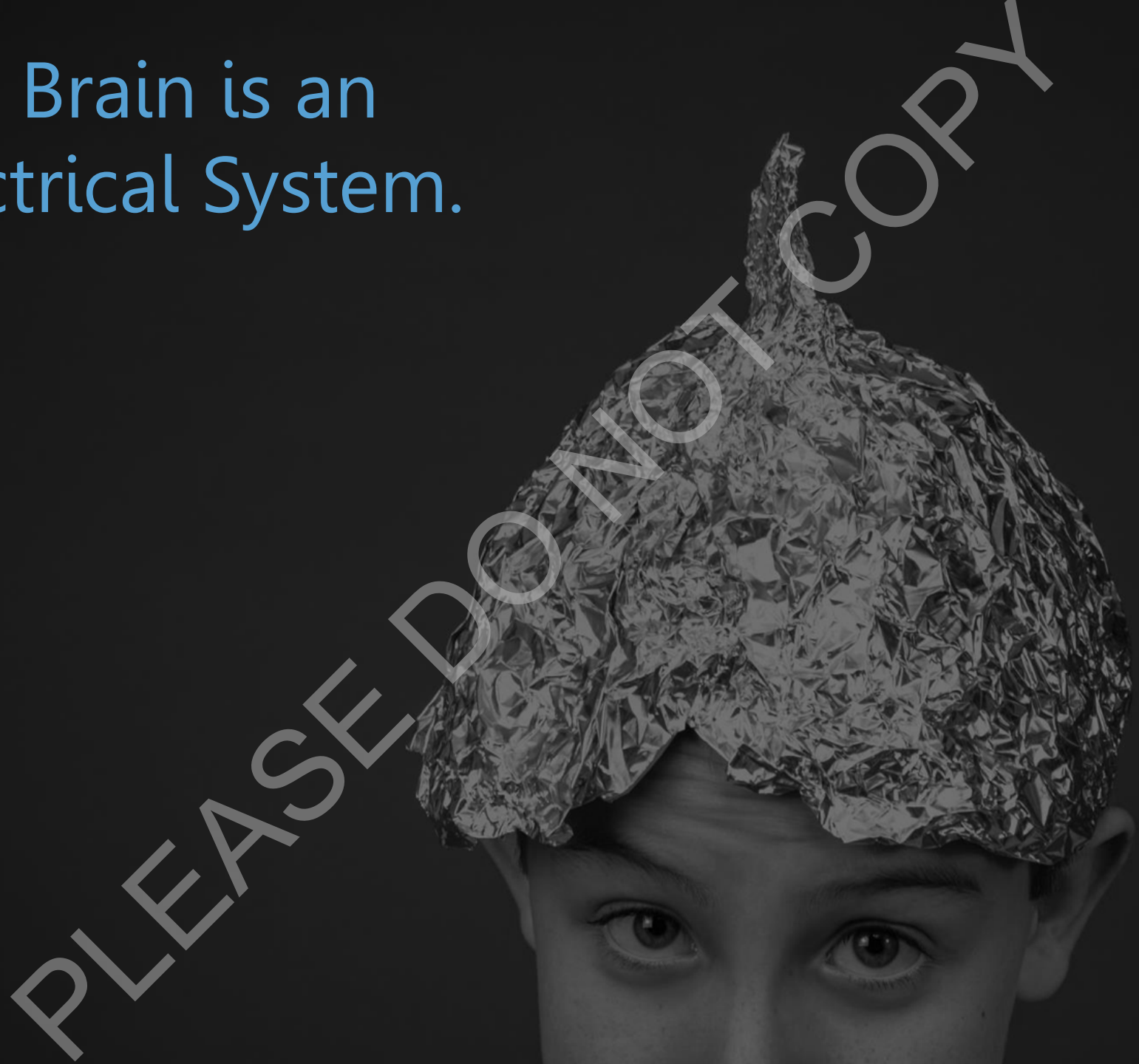
PLEASE DO NOT COPY

# Psychiatry Beyond "Chemical Imbalance in the Brain"



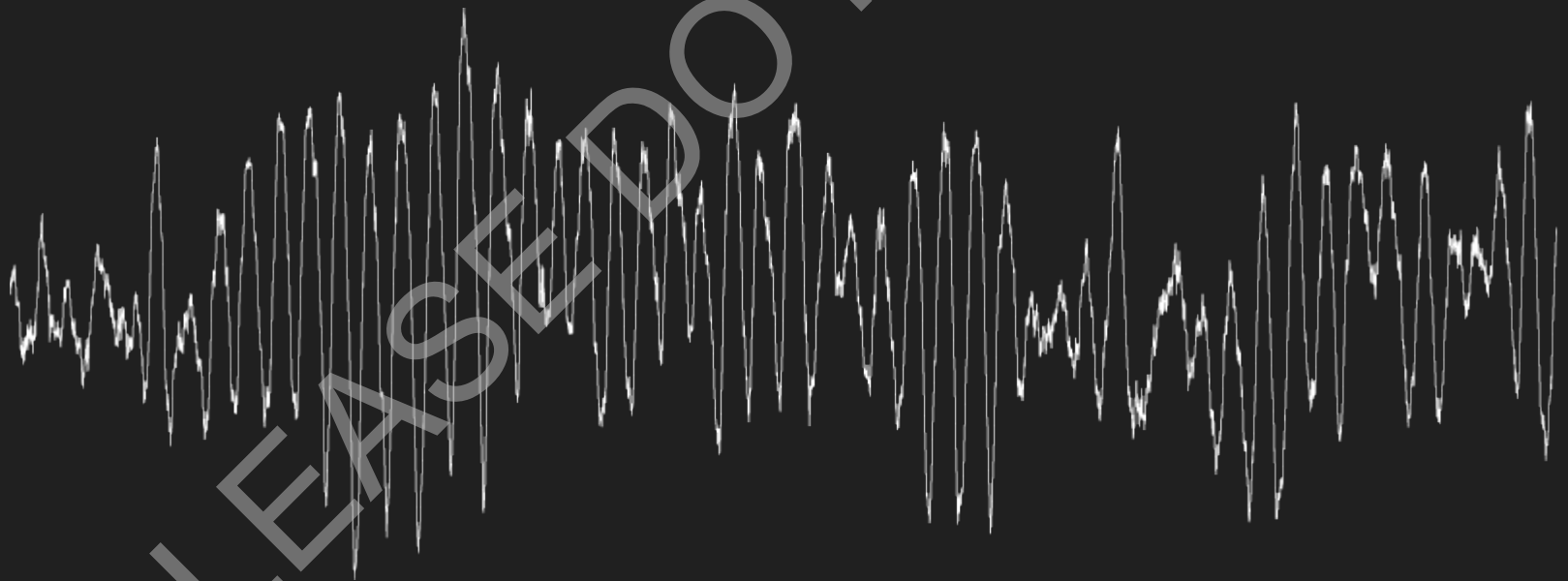


The Brain is an  
Electrical System.



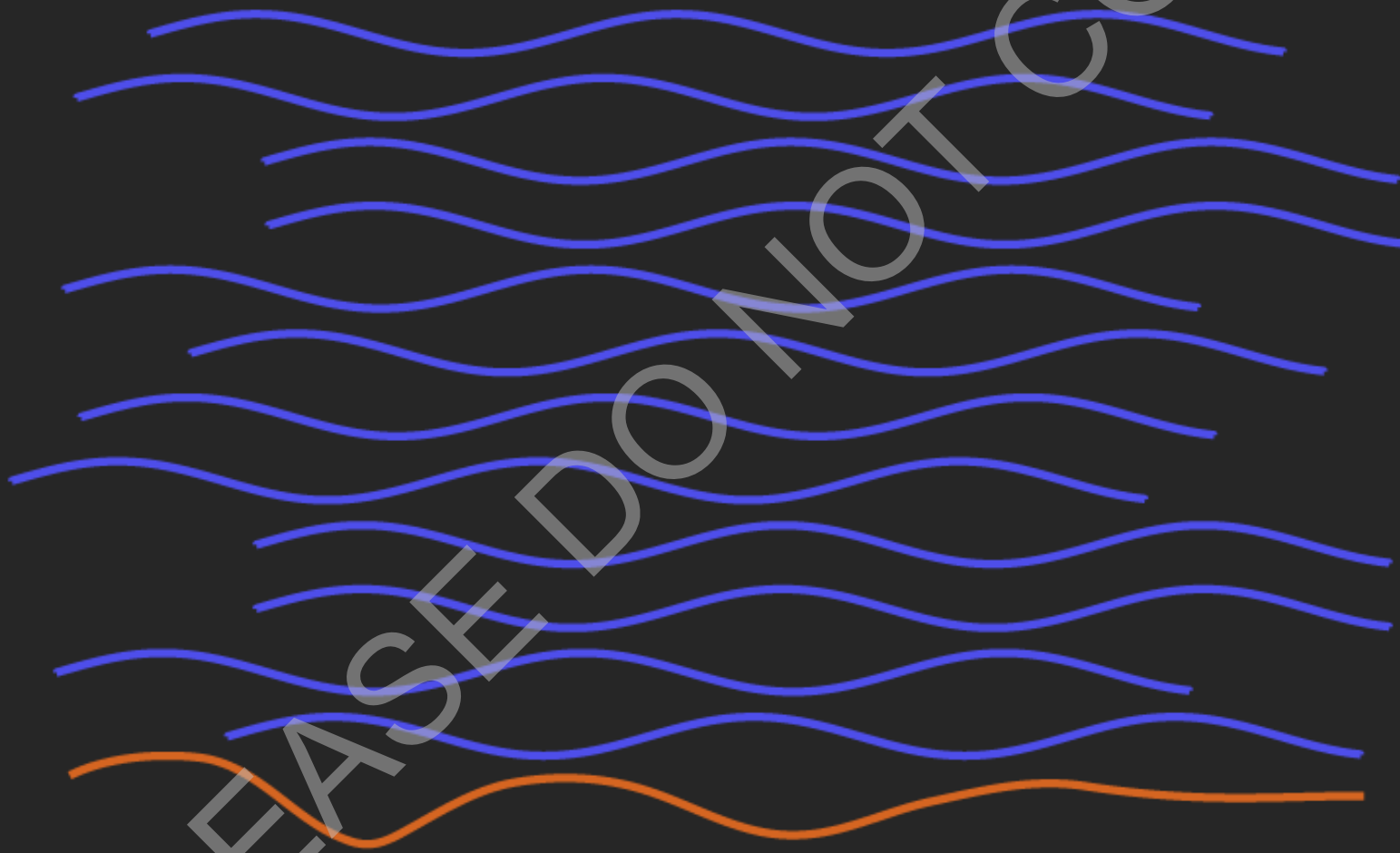


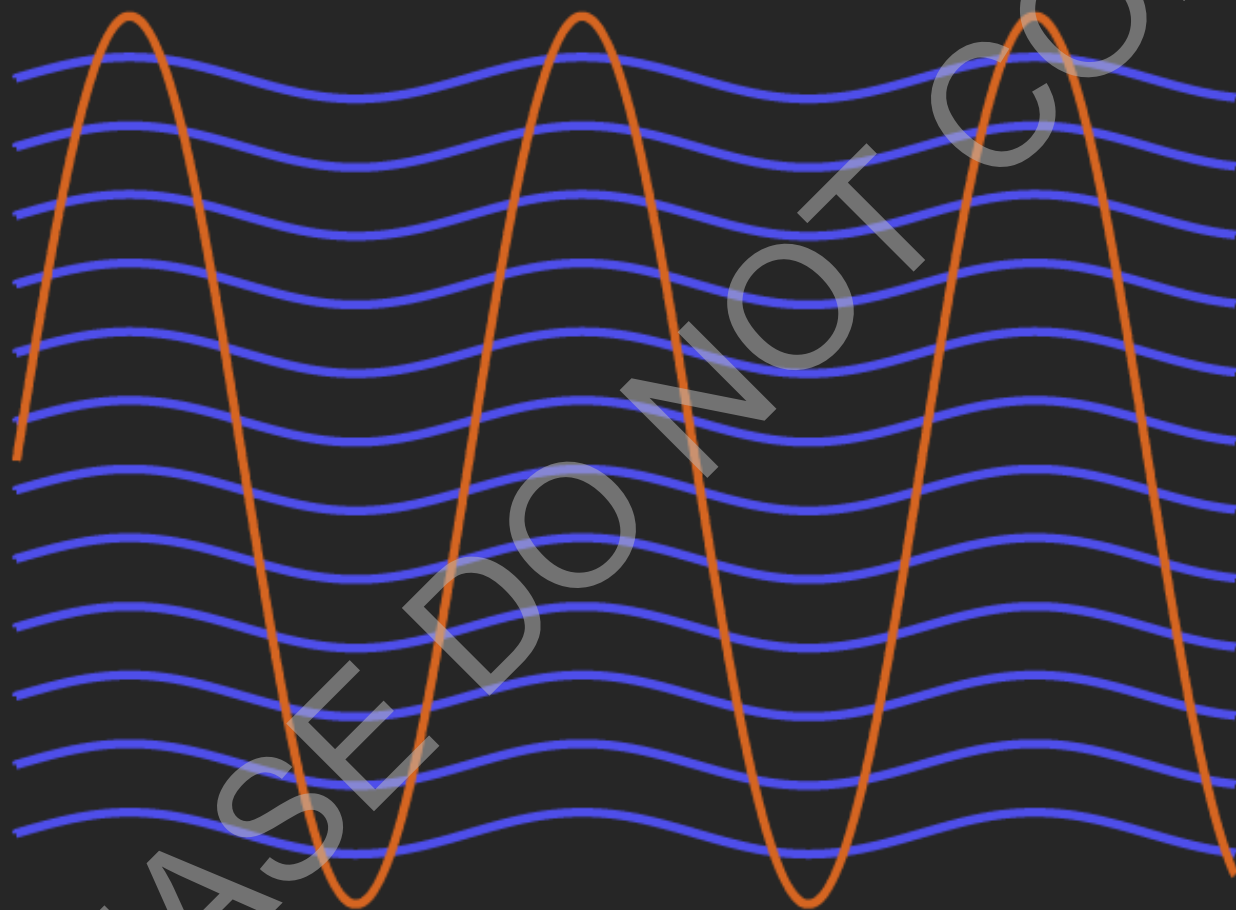
# Brain Rhythm



Alpha Oscillation

PLEASE DO NOT COPY

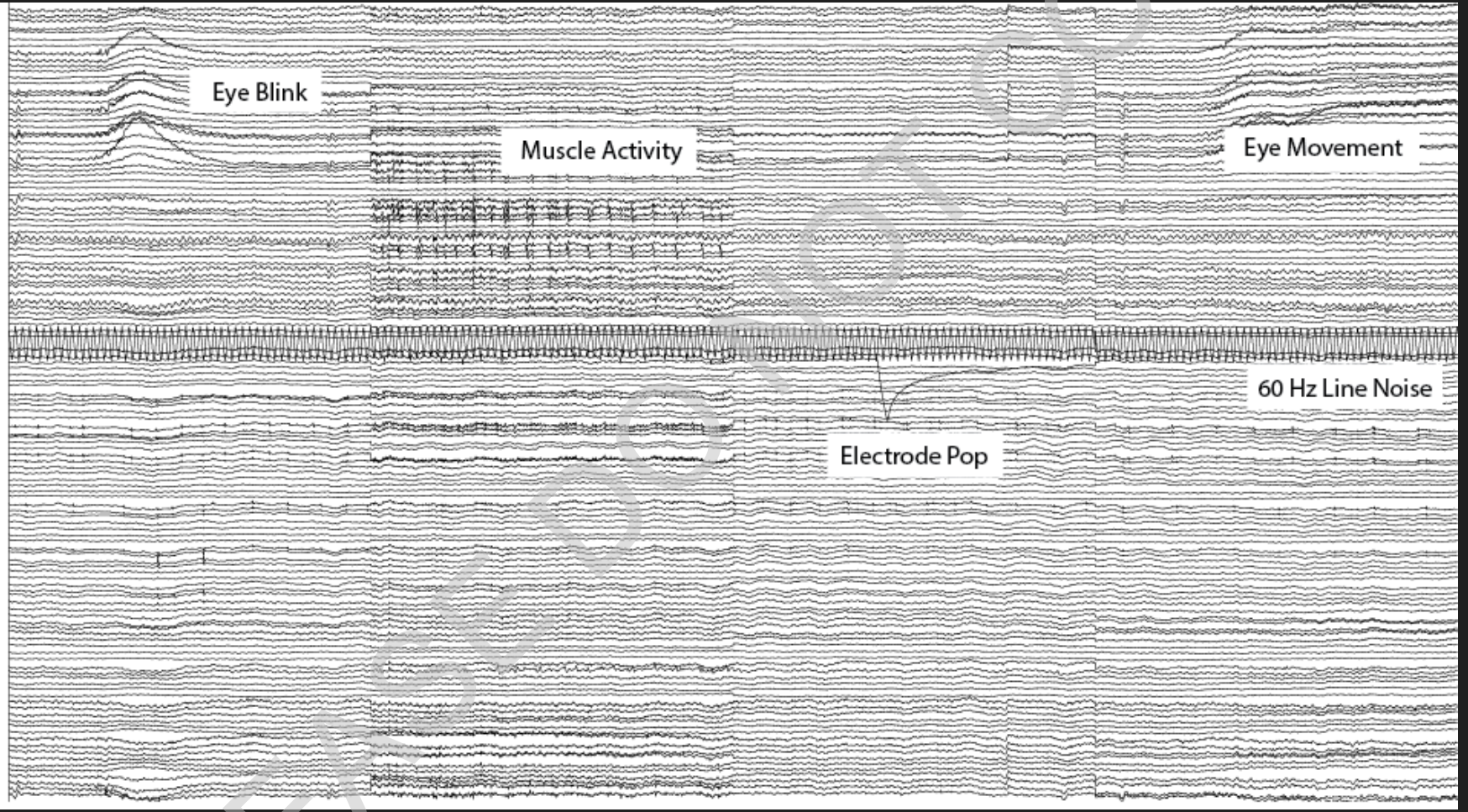


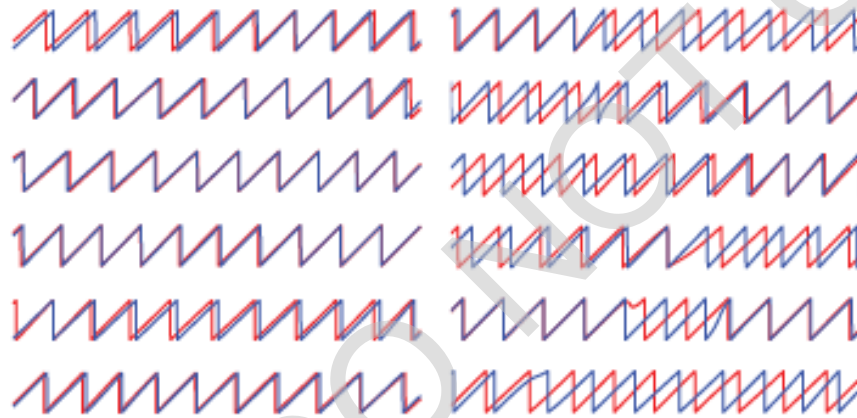


# Synchronization





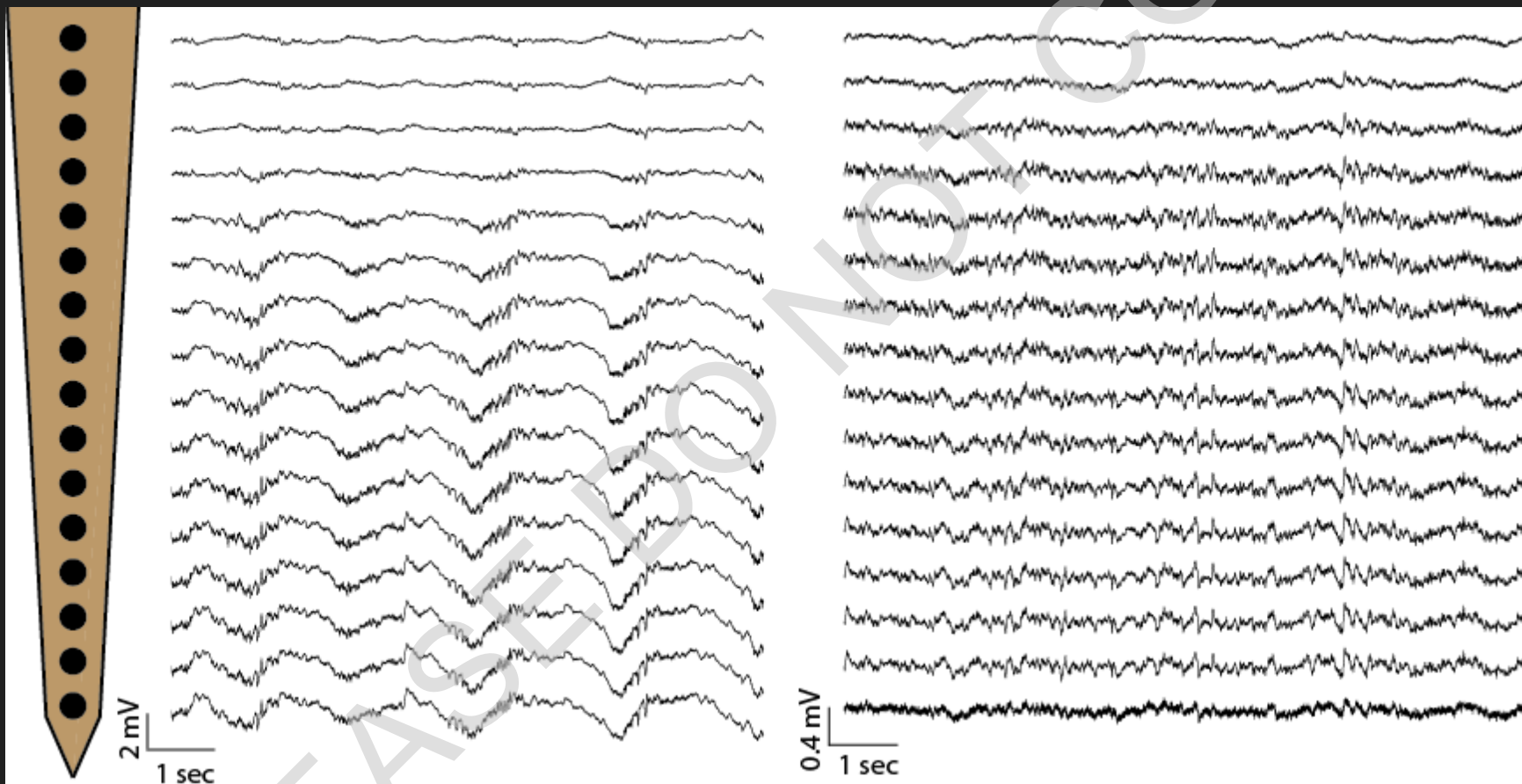




Attention

No Attention





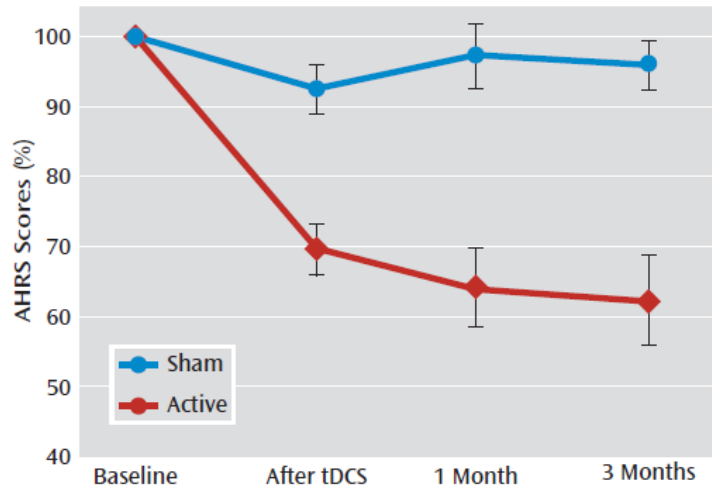
# NEUROTECHNOLOGY

Synergies with other treatments.

Adaptive, individualized therapies.

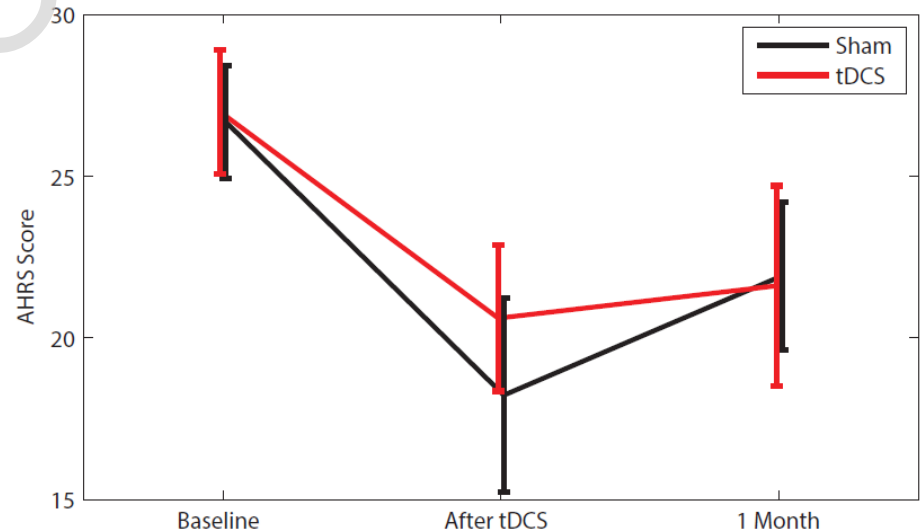
Mobile, on-demand diagnosis and treatment.

**FIGURE 1. Effect of Active and Sham Transcranial Direct-Current Stimulation (tDCS) on the Severity of Auditory Verbal Hallucinations<sup>a</sup>**

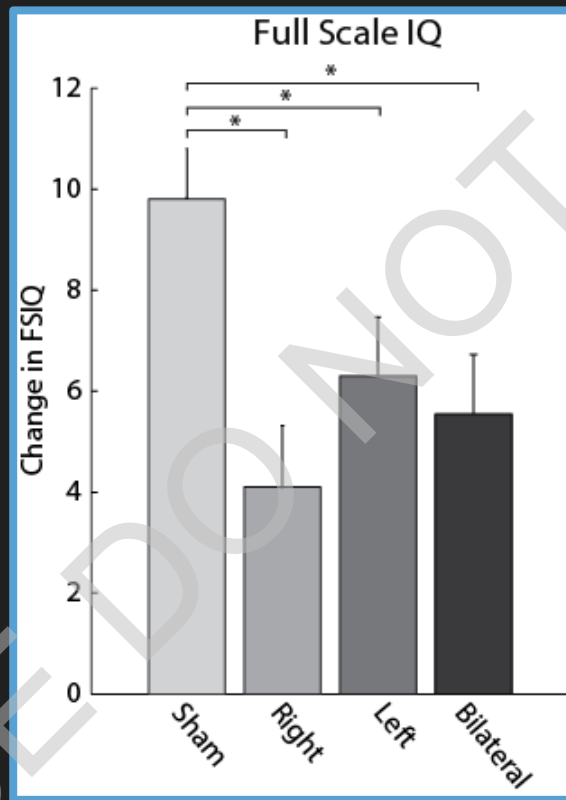


<sup>a</sup> The graph illustrates the significant interaction between the mean percentage change in Auditory Hallucination Rating Scale (AHRS) score in the two groups across the four assessments ( $F=10.97$ ,  $df=3, 84$ ,  $p<0.0001$ ). Post hoc analyses showed significant differences between groups at each postbaseline assessment: after tDCS,  $t=-4.45$ ,  $p<0.001$ ; 1 month after treatment,  $t=-4.48$ ,  $p<0.001$ ; 3 months after treatment,  $t=-4.58$ ,  $p<0.001$ . Error bars indicate standard error.

Brunelin et al. 2012



Frohlich et al. 2015



Sellers et al. 2015

# Lesson #1

Do not skip measuring  
brain activity (EEG, fMRI,  
etc.). #BeDifferent

# VERTICAL INTEGRATION

Patients

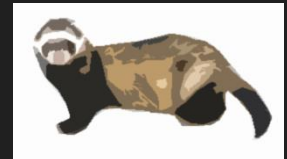
Clinical Trials



Brain Stimulation,  
Human Neurophysiology



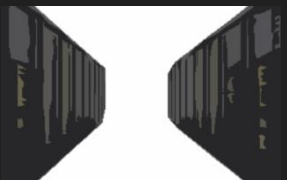
*In vivo* (Animal)  
Electrophysiology



*In vitro* (Animal)  
Electrophysiology



Computer Simulations



COMPLEXITY



TRACTABILITY

Model Systems

# Lesson #2

Leverage the tools of  
(network) neuroscience.

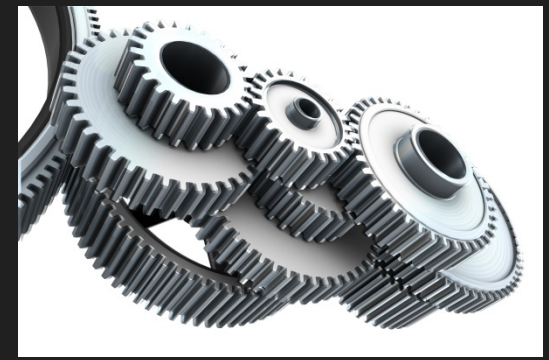
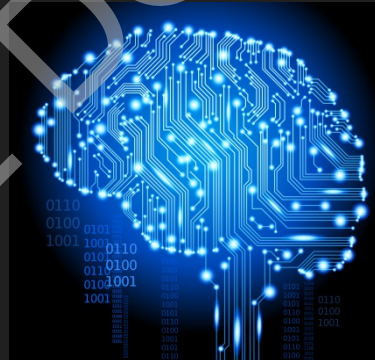
#Collaboration

# TRANSCRANIAL CURRENT STIMULATION STUDY DESIGN

Behavioral  
Target

Network  
Target

Target  
Engagement





# Lesson #3

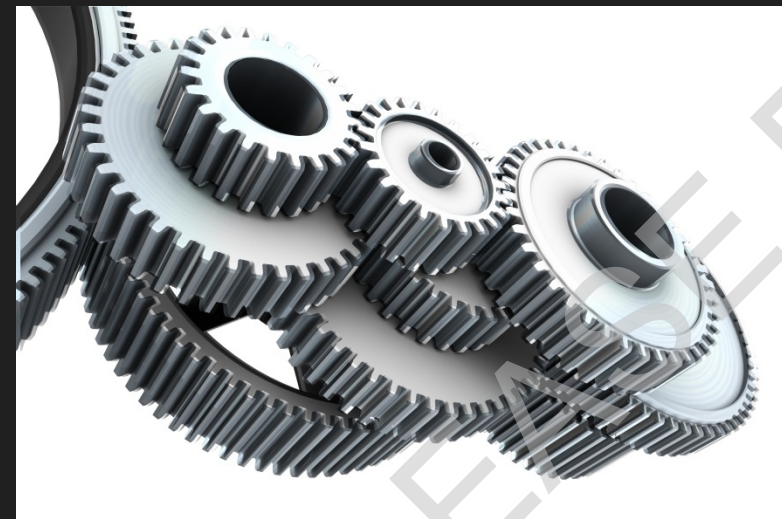
Make sure you know your target and have a plan how to engage it.

#RationalDesign

# TARGET ENGAGEMENT

How do we best engage a network target?

We need to understand what the effect of stimulation is on the brain in terms of *neurophysiology*.



#target #targetengagement #engaged #isaidyes @Target – at Target





Duke University, United States

#### REVIEWED BY



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Anhui University, China



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East China Normal University,  
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The editor and reviewers' affiliations are the latest provided on their Loop research profiles and may not reflect their situation at the time of review.

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# Using Transcranial Alternating Current Stimulation (tACS) to Improve Romantic Relationships Can Be a Promising Approach



**Shen Liu<sup>1</sup>**



**Ru Ma<sup>2</sup>**



**Xiaoming Liu<sup>1,3</sup>**



**Chong Zhang<sup>4</sup>**



**Yijun Chen<sup>2</sup>**



**Chenggong Jin<sup>2</sup>**



**Hangwei Wang<sup>2</sup>**



**Jiangtian Cui<sup>5</sup>**



**Xiaochu Zhang<sup>1,2,6,7\*</sup>**

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<sup>2</sup>Hefei National Laboratory for Physical Sciences at the Microscale and School of Life Sciences, University of Science and Technology of China, Hefei, China

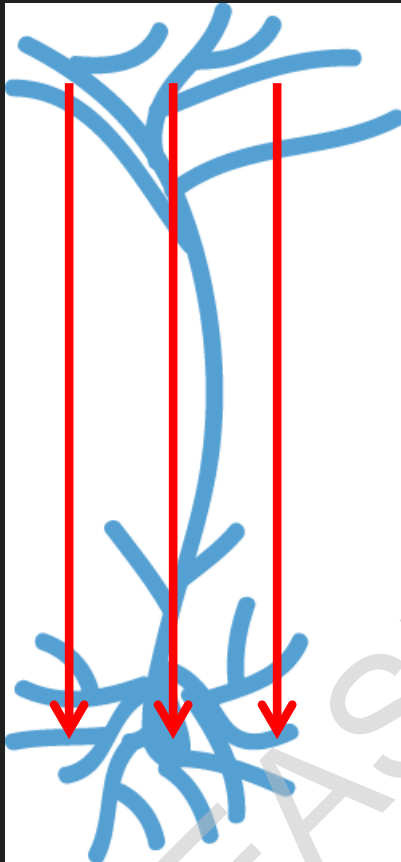
<sup>3</sup>School of Foreign Languages, Anhui Jianzhu University, Hefei, China

<sup>4</sup>Department of Mechanical and Automation Engineering, The Chinese University of Hong Kong, Hong Kong, China

# OUTLINE

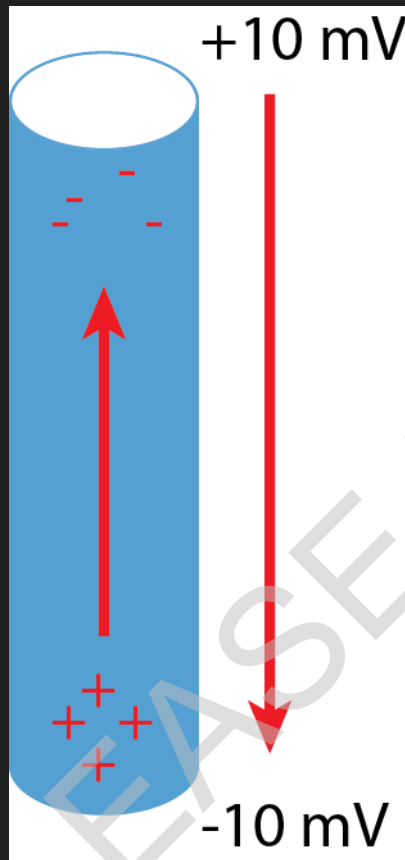
1. Cellular Effects
2. Spatial Targeting
3. Targeting Network Dynamics

# ELECTRIC FIELDS

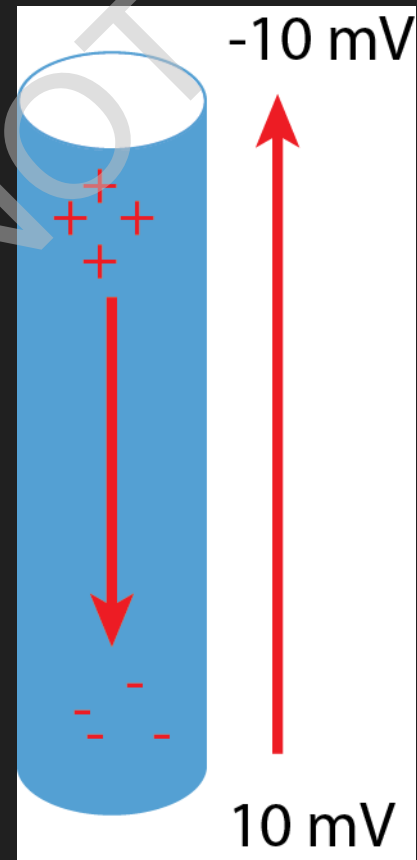


How do electric fields change electric signaling in neurons?

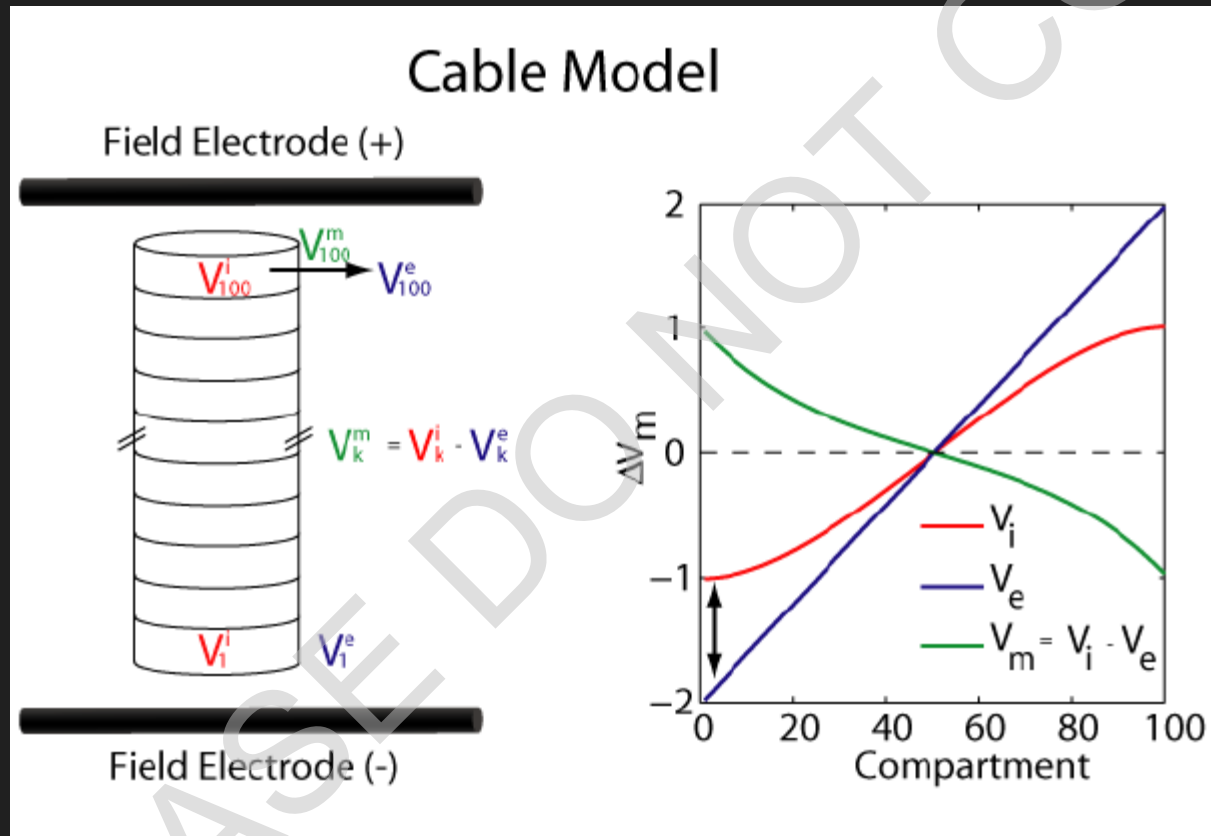
"Anodal"  
Depolarized Soma  
Hyperpolarized Dendrite



"Cathodal"  
Hyperpolarized Soma  
Depolarized Dendrite



# CABLE EQUATION





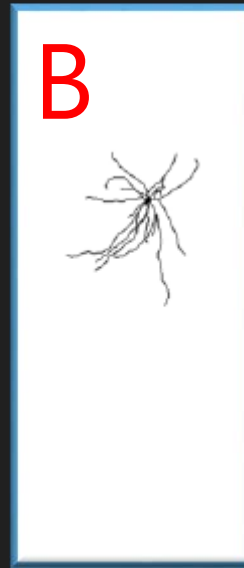
# NEURONAL MORPHOLOGY AND STATE

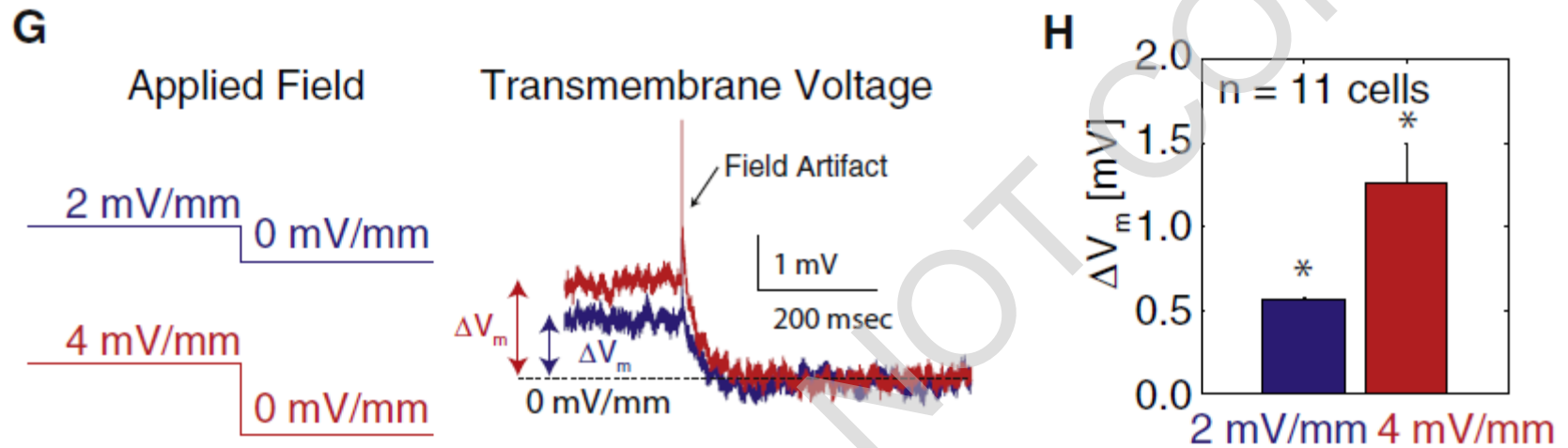
Change in somatic membrane voltage:

- Increases with cable length.
- Decreases with membrane conductance.
- Increases with cable diameter.



VS.





Change in somatic membrane voltage can be modeled as a sub-threshold somatic current injection.

# Lesson #4

tDCS/tACS cause small changes in neuronal membrane voltage. #synergy  
#EndogenousBrainActivity



Reviews and perspectives

## Evidence that transcranial direct current stimulation (tDCS) generates little-to-no reliable neurophysiologic effect beyond MEP amplitude modulation in healthy human subjects: A systematic review

Jared Cooney Horvath , Jason D. Forte, Olivia Carter

[Show more](#)



Popular brain stimulation methods can't trigger neuronal firing, a study in cadavers suggests. MIHÁLY VÖRÖSLAKOS/UNIVERSITY OF SZEGED

## Cadaver study casts doubts on how zapping brain may boost mood, relieve pain

By **Emily Underwood** | Apr. 20, 2016, 3:00 AM

Earlier this month, György Buzsáki of New York University (NYU) in New York City showed a slide that sent a murmur through an audience in the Grand Ballroom of New York's Midtown Hilton during the annual meeting of the Cognitive Neuroscience Society. It wasn't just the grisly image of a human cadaver with more than 200 electrodes inserted into its brain that set people whispering; it was what those electrodes detected—or rather, what they failed to detect.

The cadaver research “should make the crowd nervous that favors tDCS and tACS,” says David Poeppel, a neuroscientist and psychologist at NYU.

Marom Bikson, a biomedical engineer at The City College of New York in New York City who uses computer models and slices of rat brain to study the mechanisms of tDCS and tACS, says that many in the field already accepted that the 1 or 2 milliamps the methods use don’t directly trigger firing.

The tDCS field is “a sea of bullshit and bad science—and I say that as someone who has contributed some of the papers that have put gas in the tDCS tank,” says neuroscientist Vincent Walsh of University College London. “It really needs to be put under scrutiny like this.”

# TMS-tDCS-EEG study

High-Density EEG with Digitizer

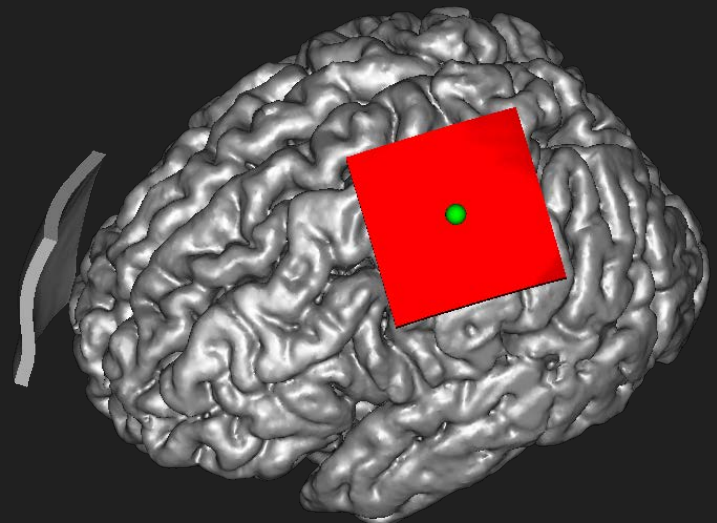


TMS (left precentral gyrus)  
using Neuronavigation

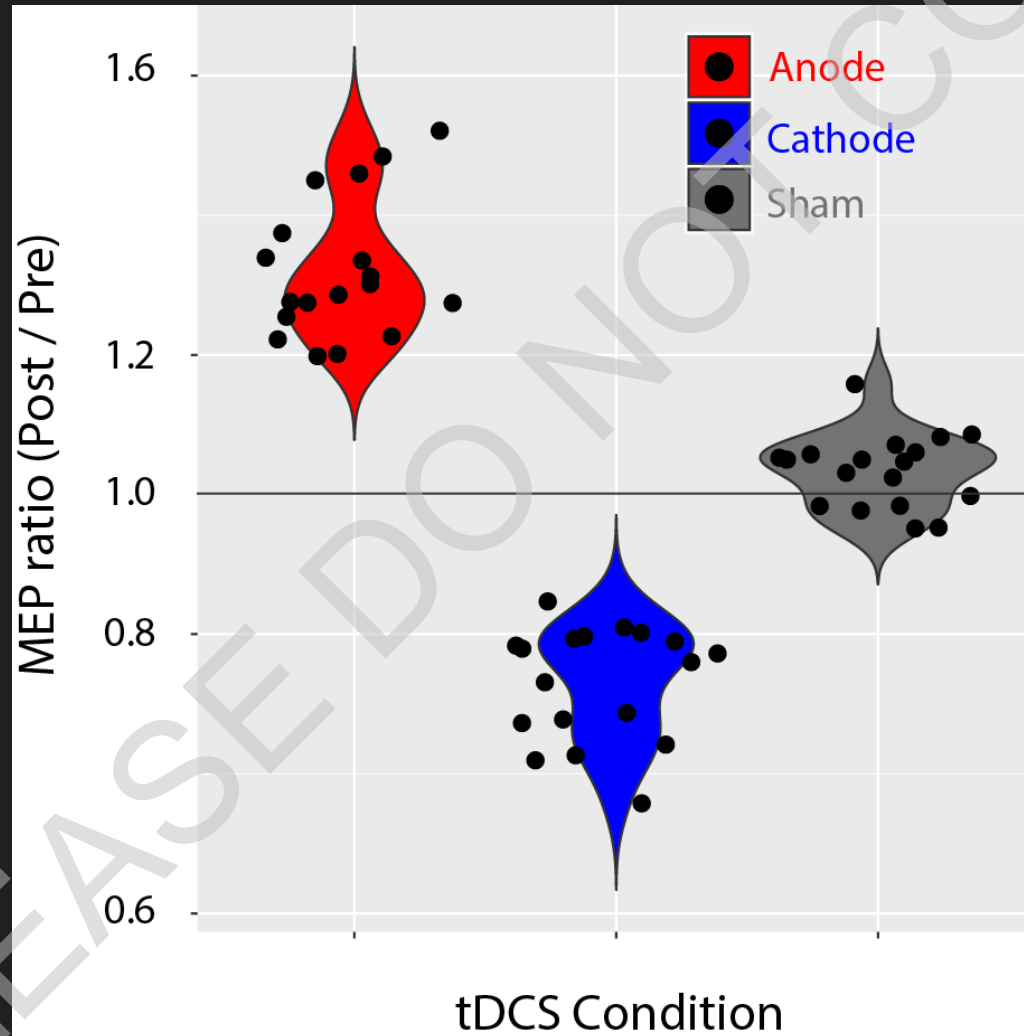


2mA tDCS (M1-SO montage)

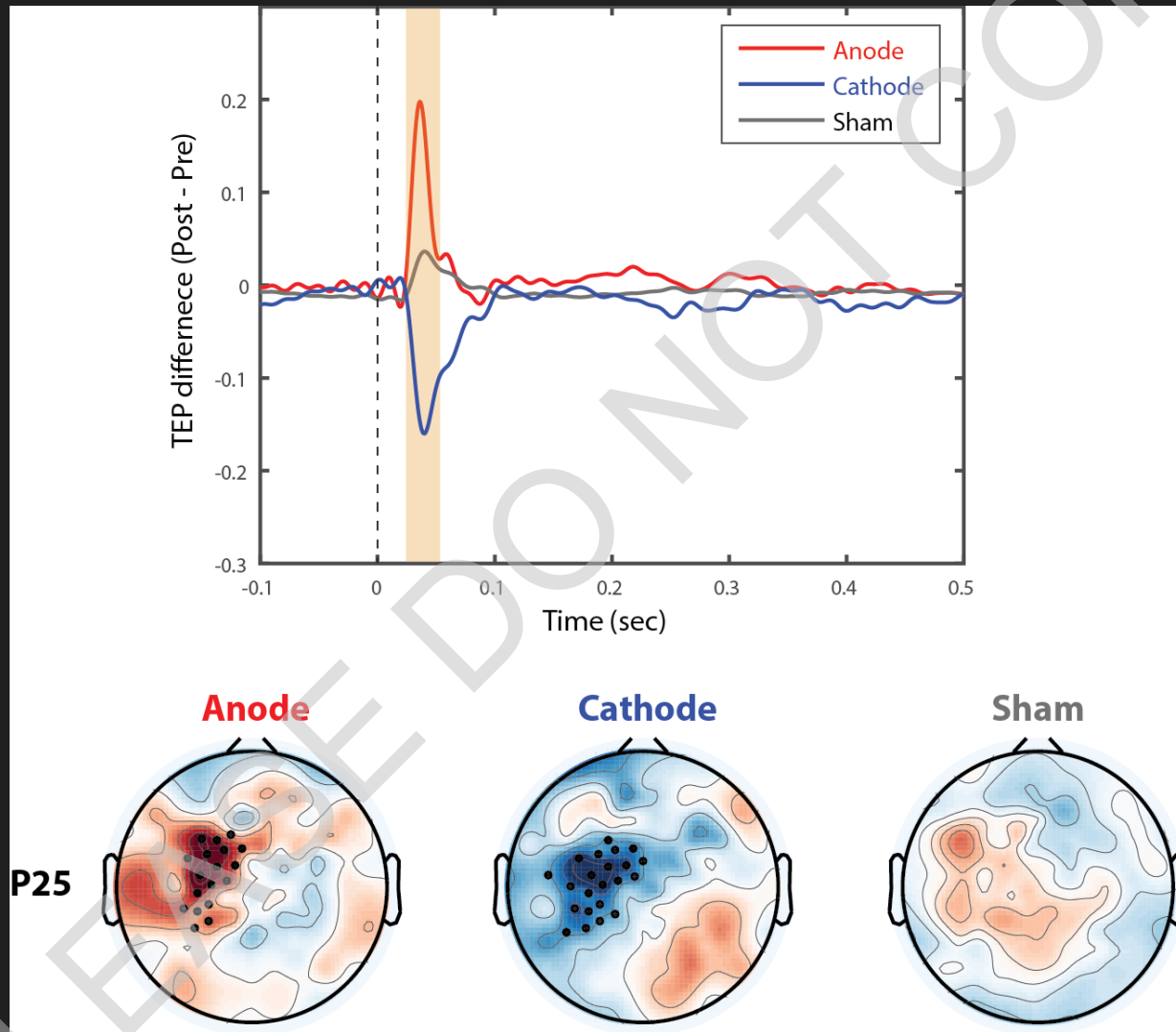
Anode Cathode Sham



## Replication (Motor-Evoked Potential)



## Grand-averaged TMS-evoked potential (TEP)





# SPATIAL TARGETING

Tissue	Resistivity [Ohm cm]
Copper	$2e-6$
CSF	64
Cortex	350
White Matter	650
Bone	8,000-16,000

# IMPLEMENTATION

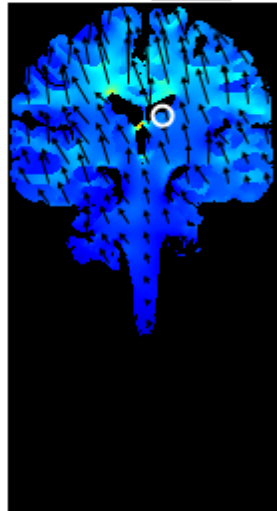
- MR Scan
- Tissue segmentation
- Numerical solution (e.g. finite elements).

1. Develop you own code
2. Collaborate
3. Buy tool / use free tool

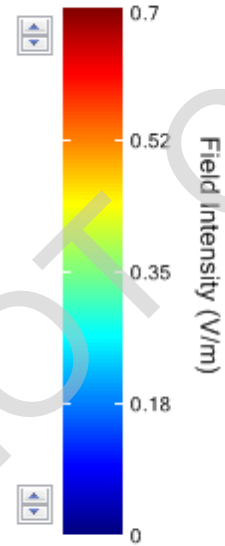
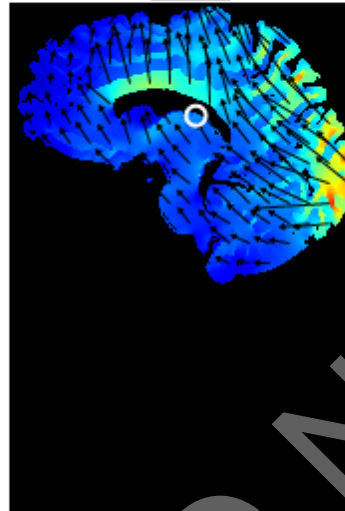
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Selected MNI Position: {10,-19,21}

Selected Field Intensity: 0.18 V/m

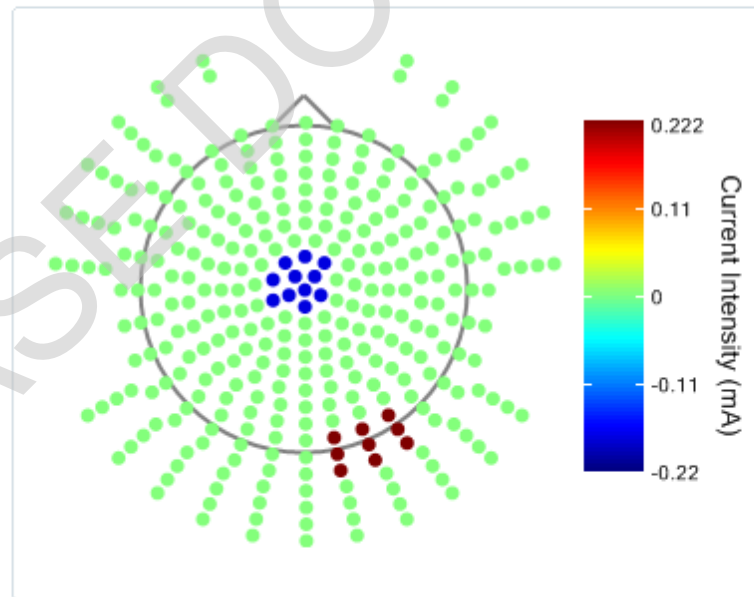
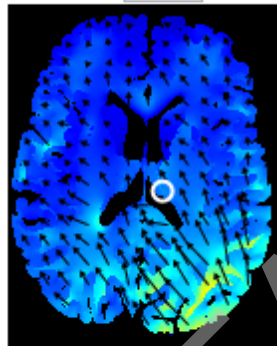
Coronal Slice: 94



Sagittal Slice: 78



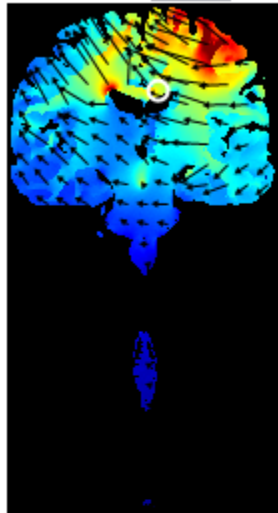
Axial Slice: 57



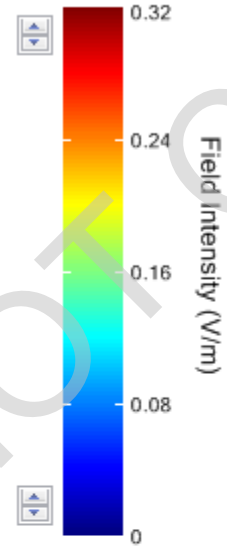
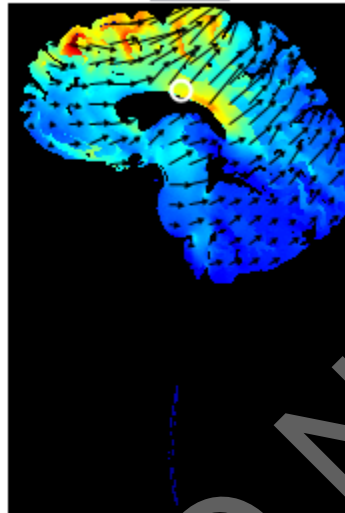
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Selected MNI Position: {7,-8,30}

Selected Field Intensity: 0.19 V/m

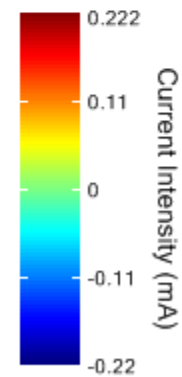
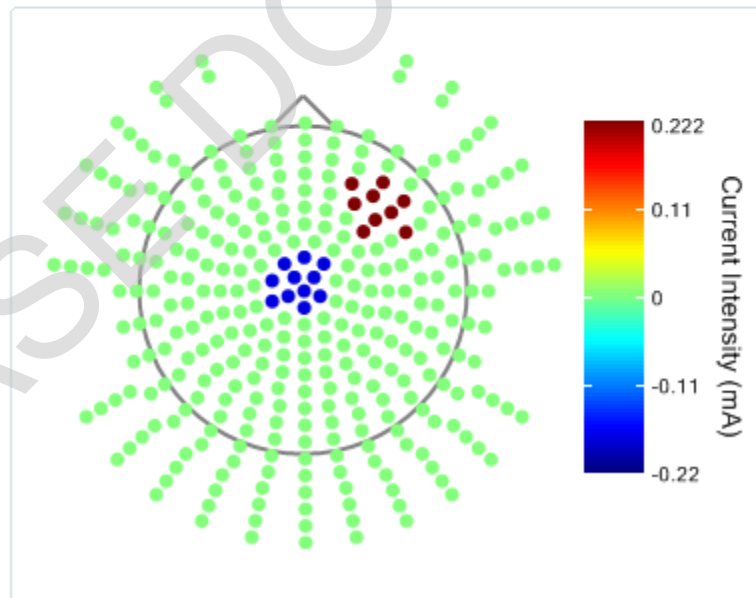
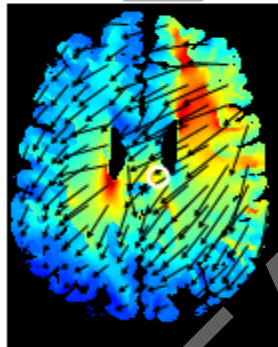
Coronal Slice: 87

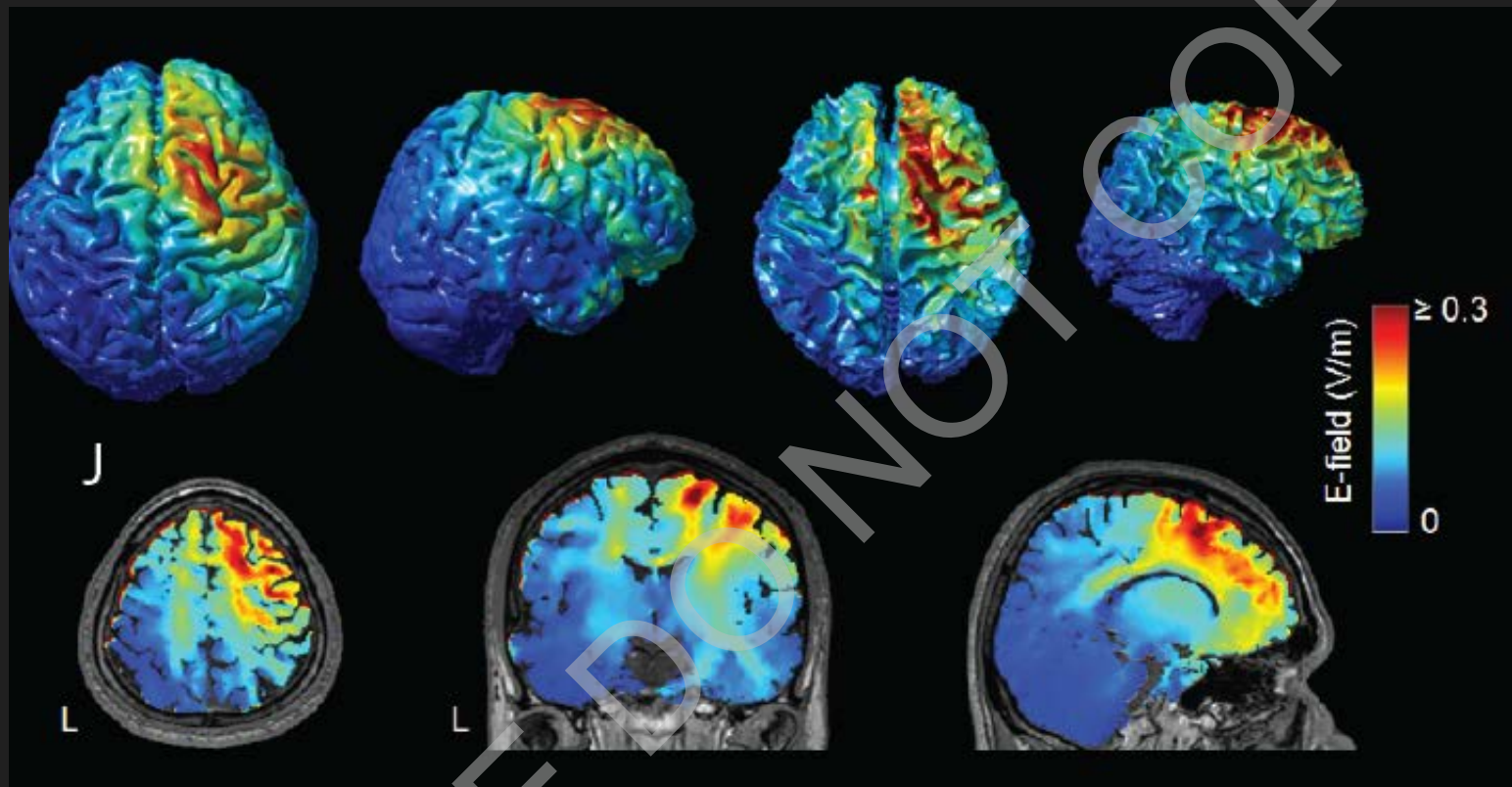


Sagittal Slice: 76



Axial Slice: 44



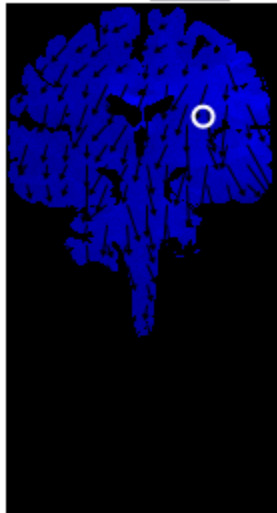


Modeling performed by Angel Peterchev  
Sellers et al 2015

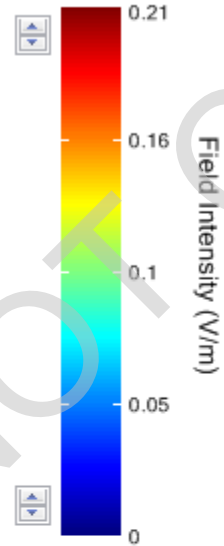
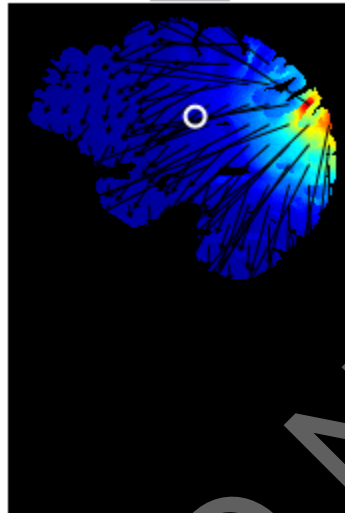
Selected Slice Position: {99,94,57}  
Selected MNI Position: {31,-18,20}

Selected Field Intensity: 0.01 V/m

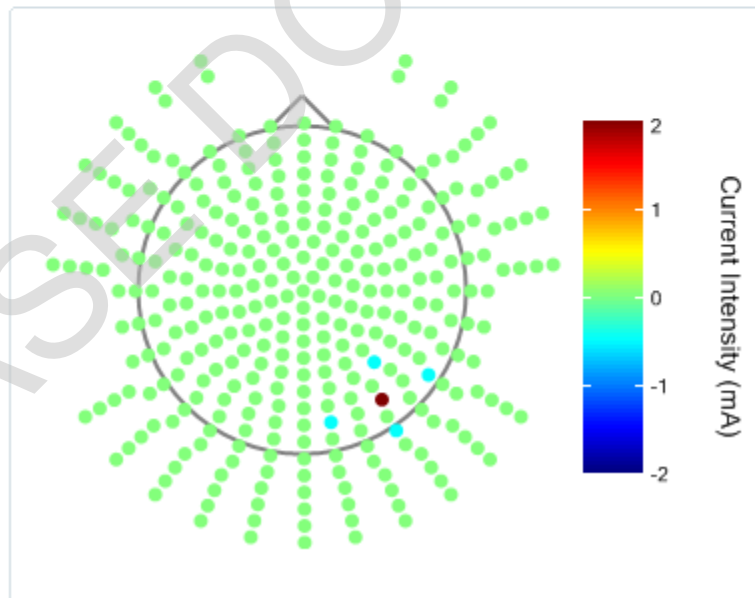
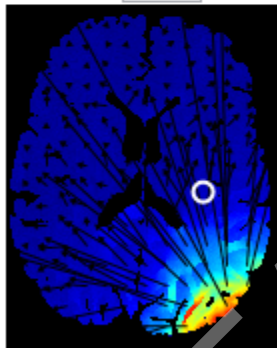
Coronal Slice: 94



Sagittal Slice: 99



Axial Slice: 57



# Lesson #5

MR scan + Segmentation +  
EF modeling = Spatial

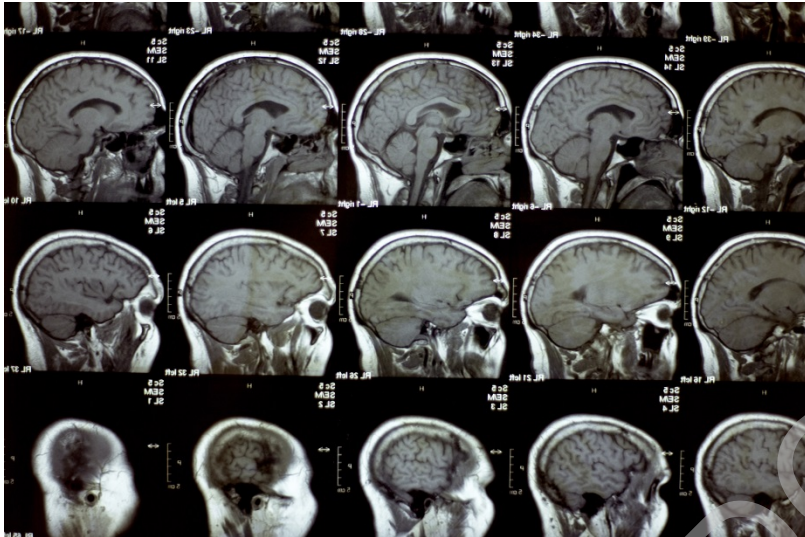
Targeting

#KnowYour3D

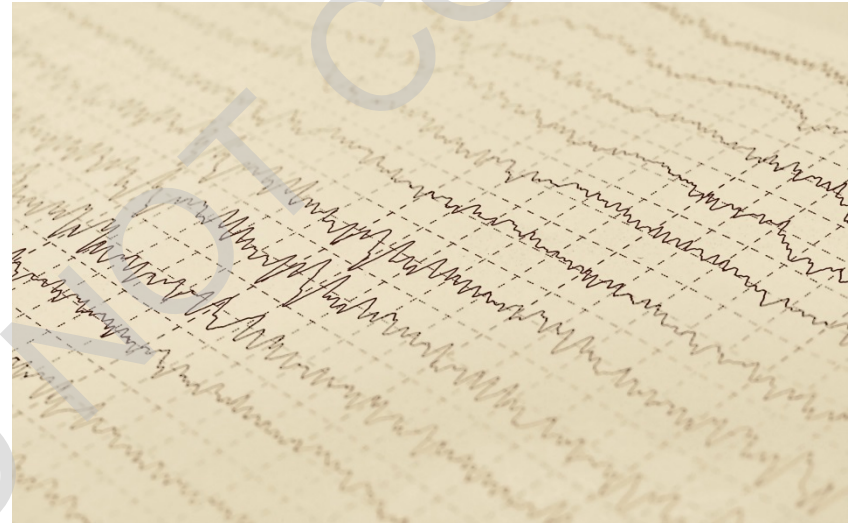
#HowGoodisHD



## STRUCTURE



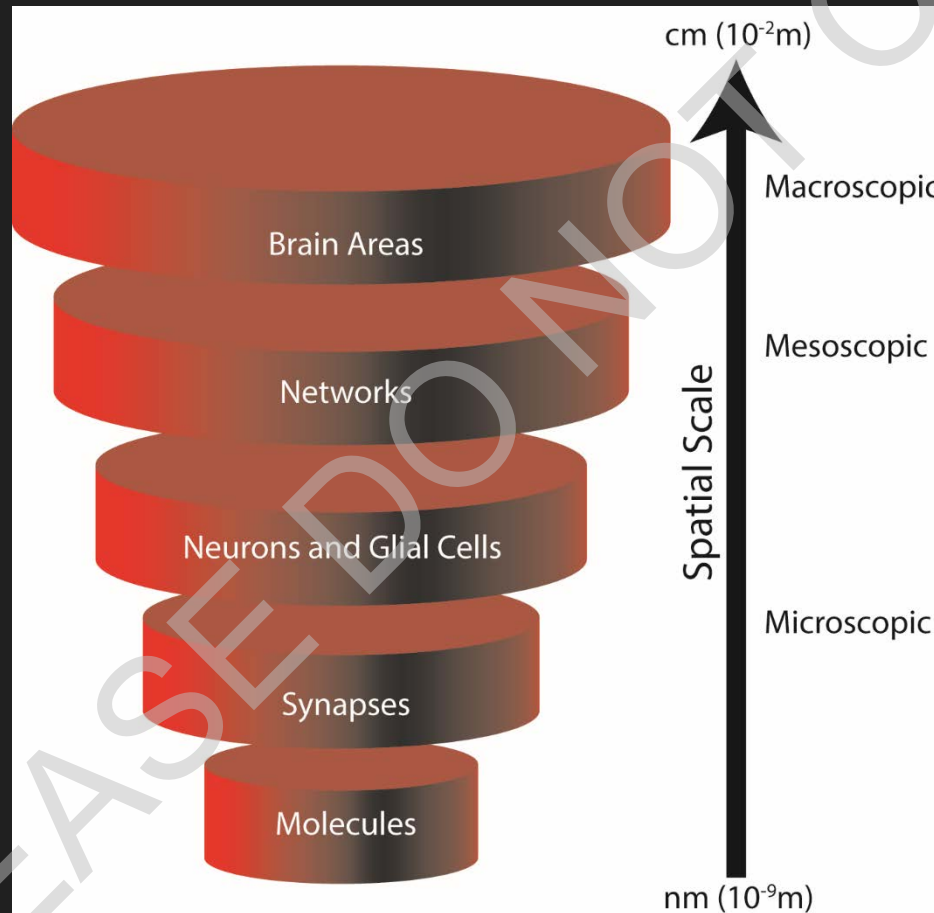
## DYNAMICS



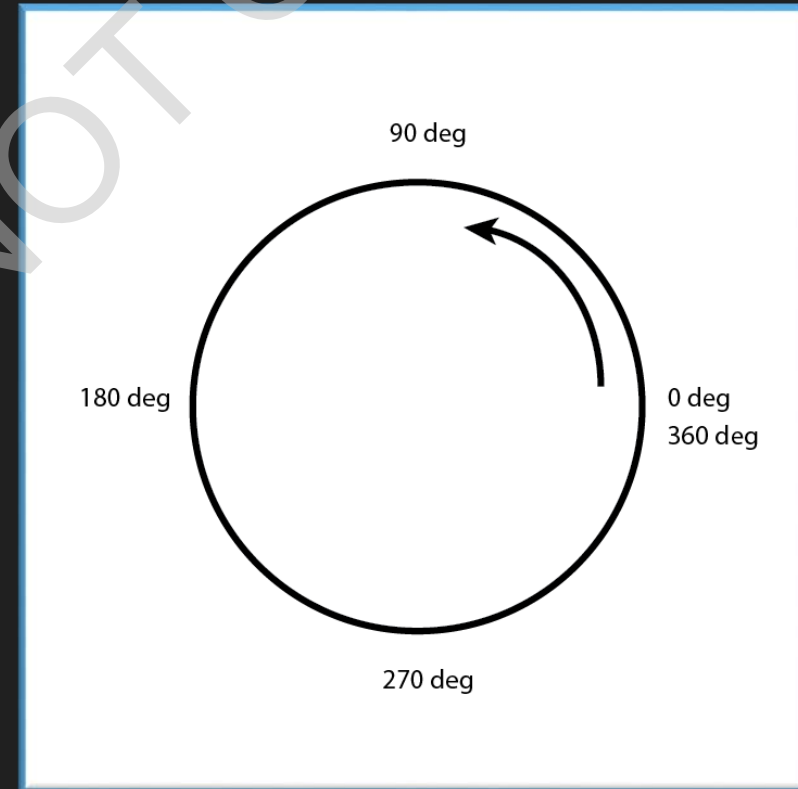
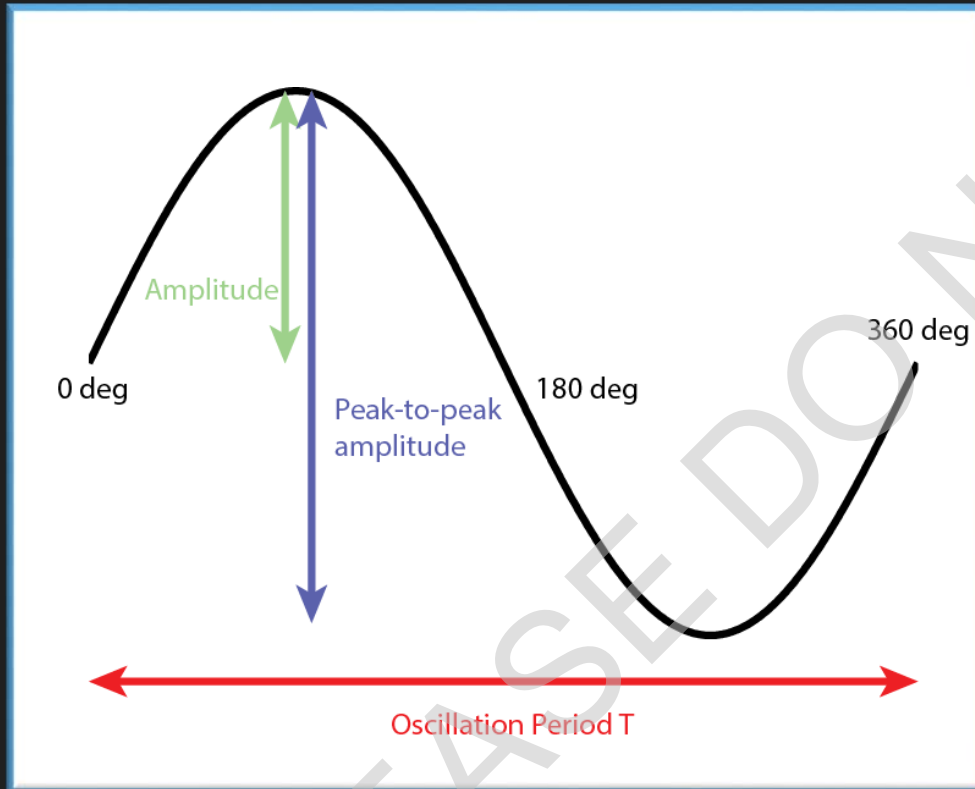
## BEHAVIOR



# MODELING DYNAMICS



# OSCILLATIONS



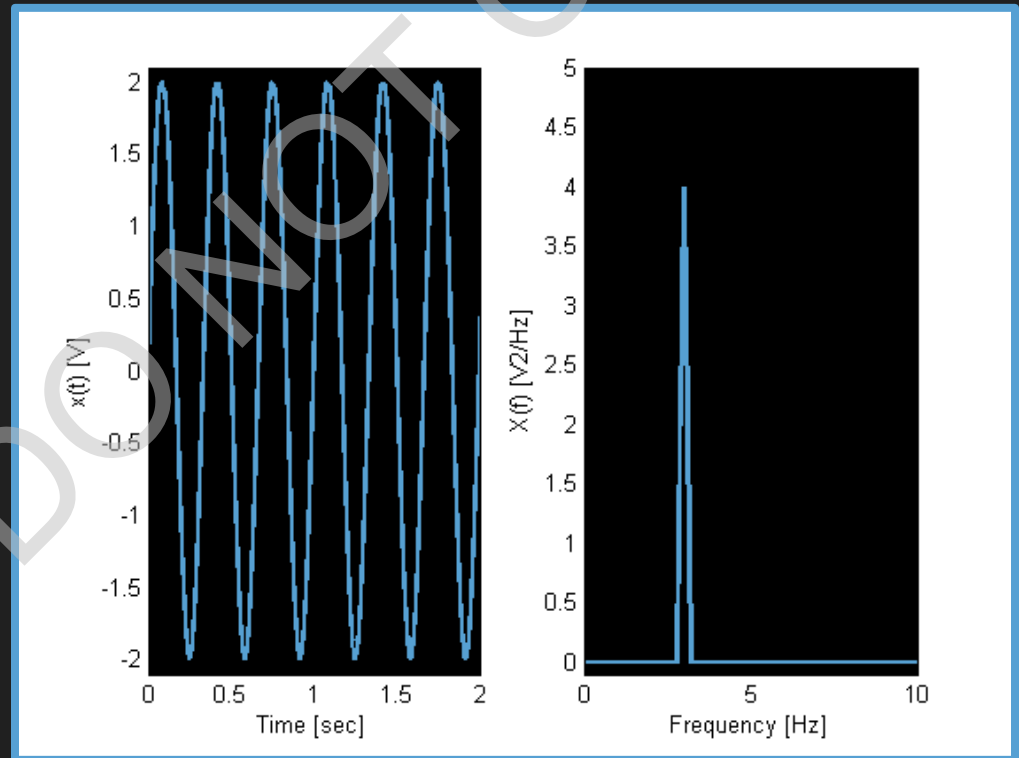
Caution: Most tACS literature refers to the peak-to-peak amplitude as amplitude.

# NETWORK DYNAMICS

Raw Trace

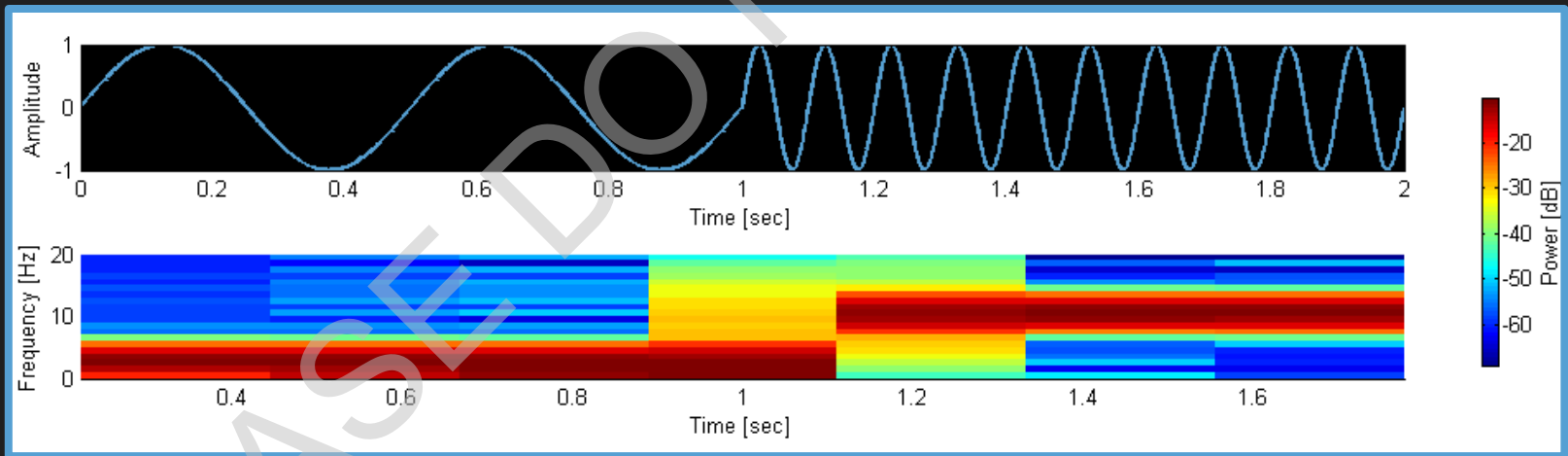
Spectrum

1. Raw trace.
2. Spectrum: Power as a function of frequency.
3. Spectrogram: Spectrum as a function of time.
4. Coherence: Interaction between two sites as a function of frequency.



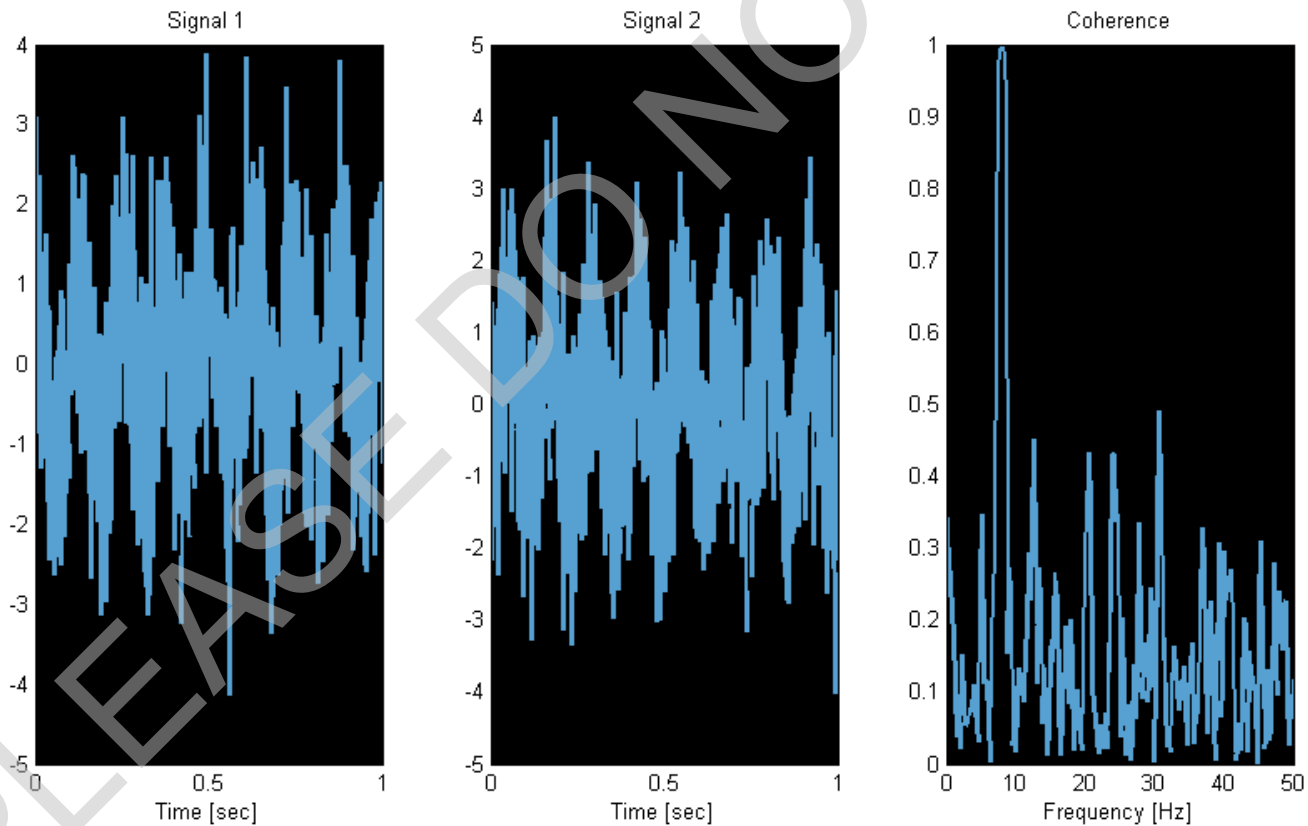
1. Raw trace.
2. Spectrum: Power as a function of frequency.
3. Spectrogram: Spectrum as a function of time.

Raw Trace



Spectrogram

1. Raw trace.
2. Spectrum: Power as a function of frequency.
3. Spectrogram: Spectrum as a function of time.
4. Coherence: Interaction between two sites as a function of frequency.

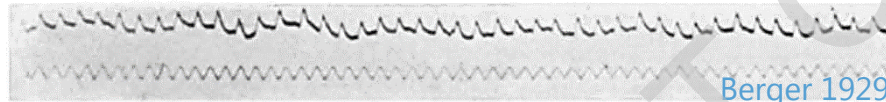


# Lesson #6

Brain rhythms effectively  
targeted by rhythmic brain  
stimulation

#MiddleSchoolMath

# TARGETING BRAIN NETWORK DYNAMICS



Berger 1929

Abb. 4. 40-jähriger Mann. Große linksseitige, von der Stirn bis in die Parietalgegend reichende Knochenlücke. Doppelspulengalvanometer. Kondensation. Nadelelektroden subcutan im Bereich der Knochenlücke, 4,5 cm voneinander entfernt. Oben Schwankungen der epidural abgeleiteten Kurve, unten Zeit in  $\frac{1}{10}$  Sekunden.

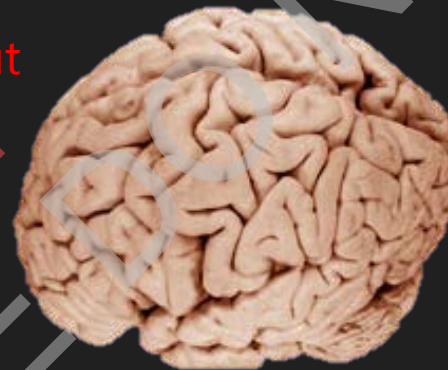


NeuroConn

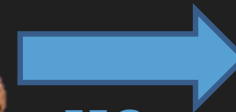
Write / Input



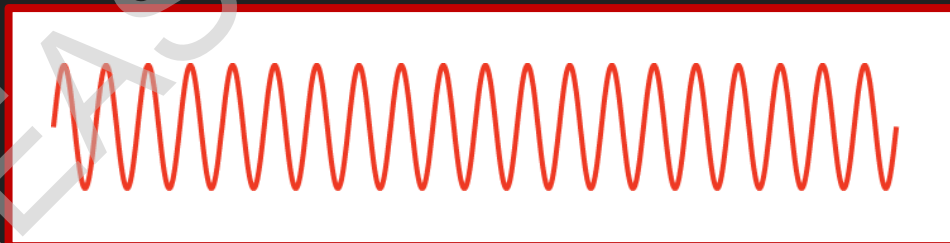
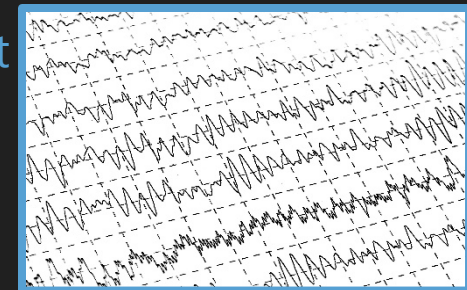
tACS



Read / Output

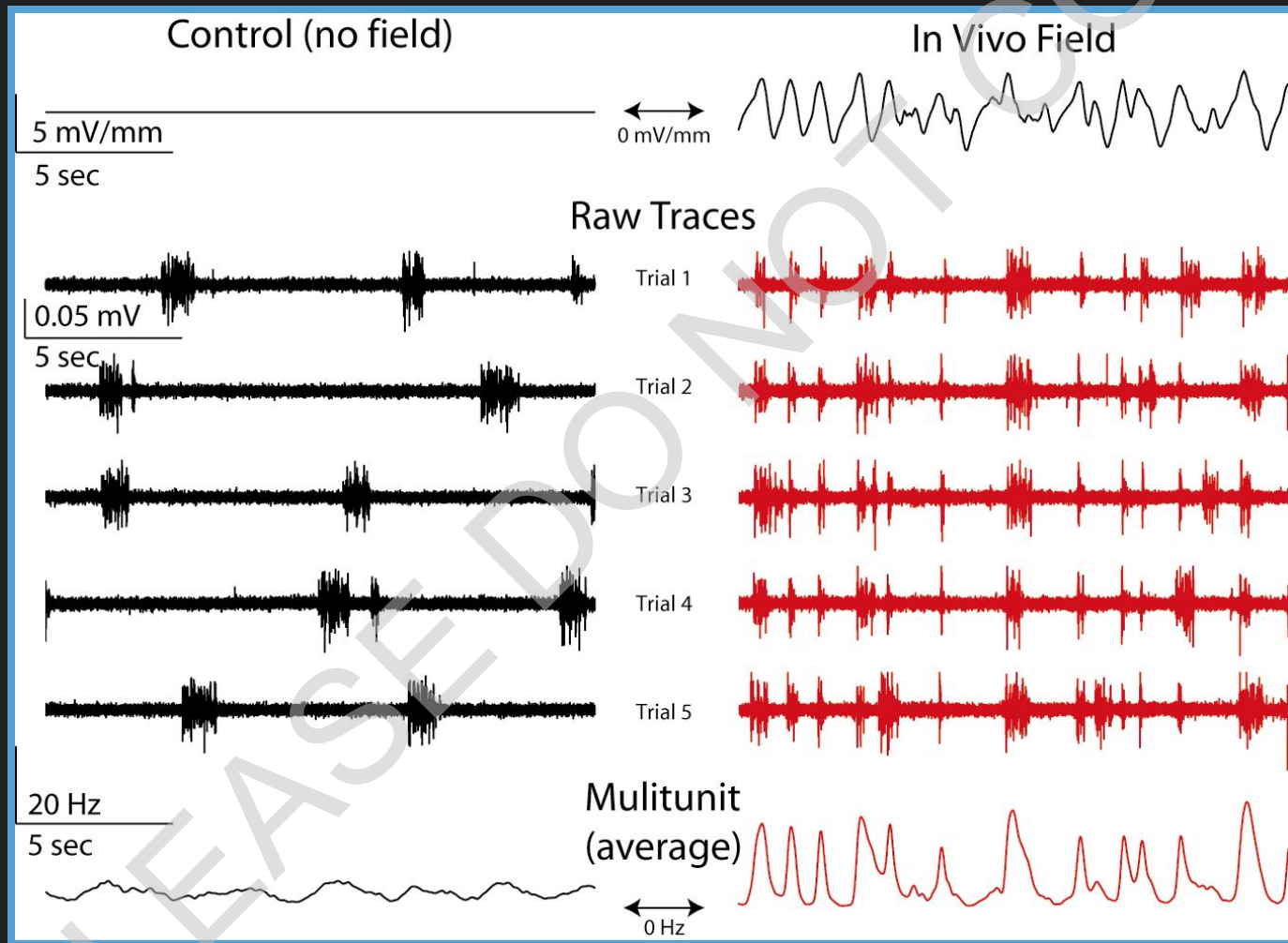


EEG



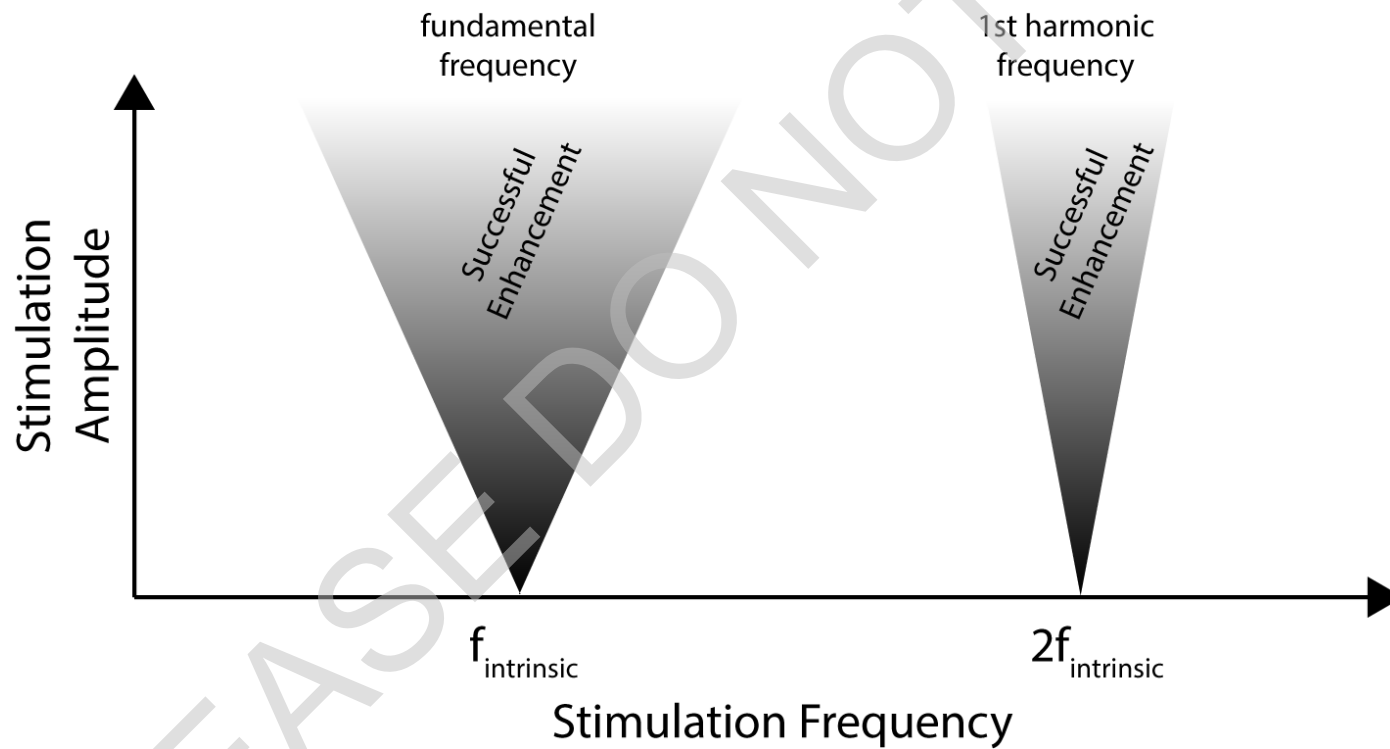
Transcranial Alternating Current Stimulation (tACS)

# NATURALISTIC ELECTRIC FIELDS

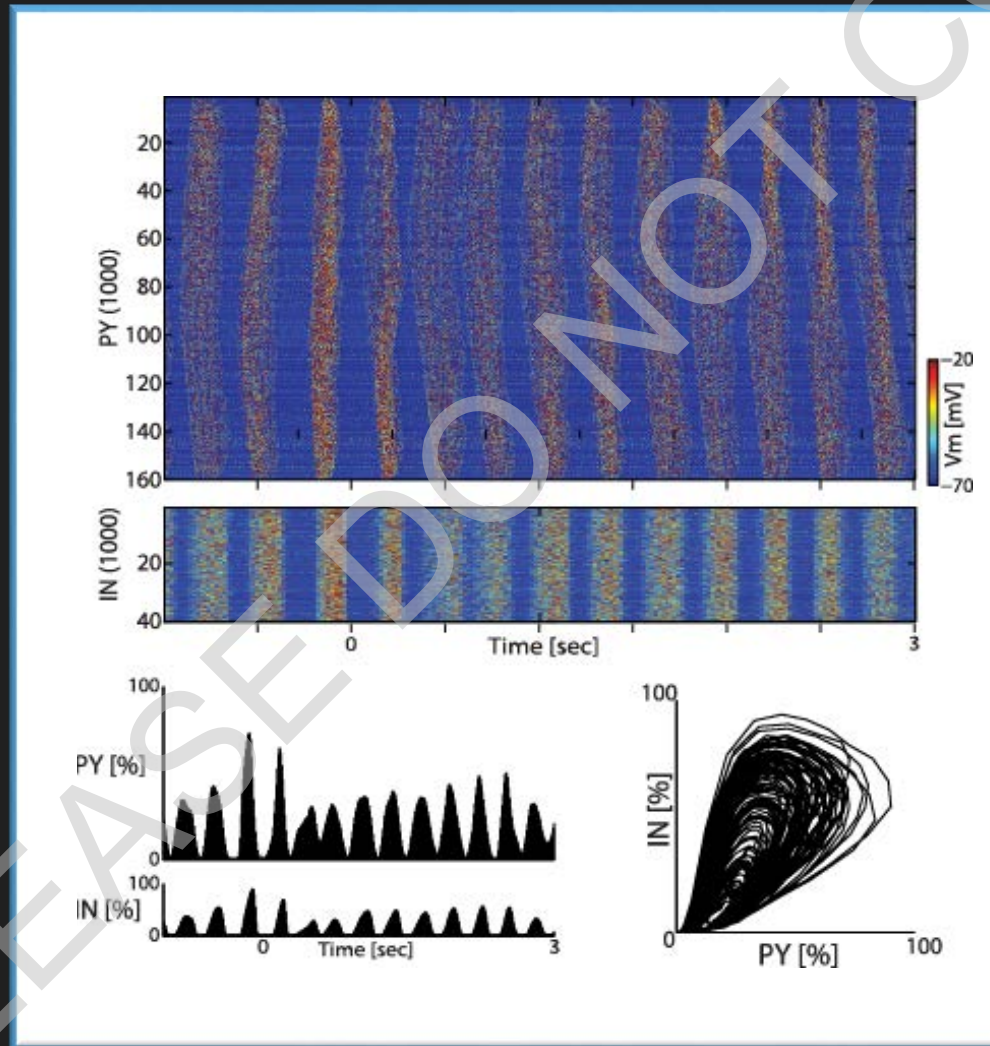




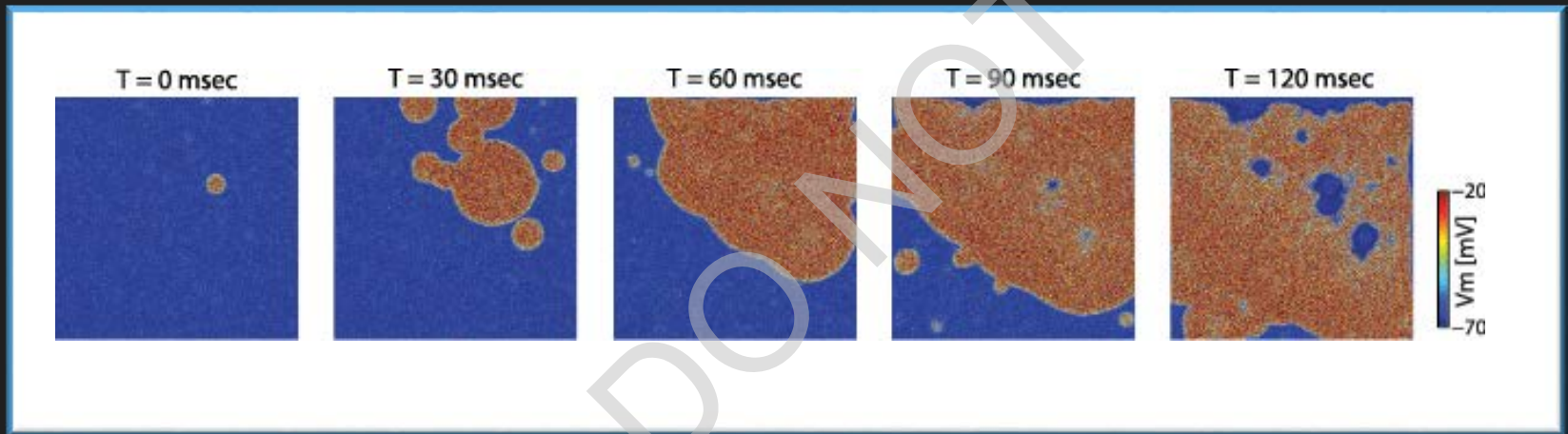
# ARNOLD TONGUE



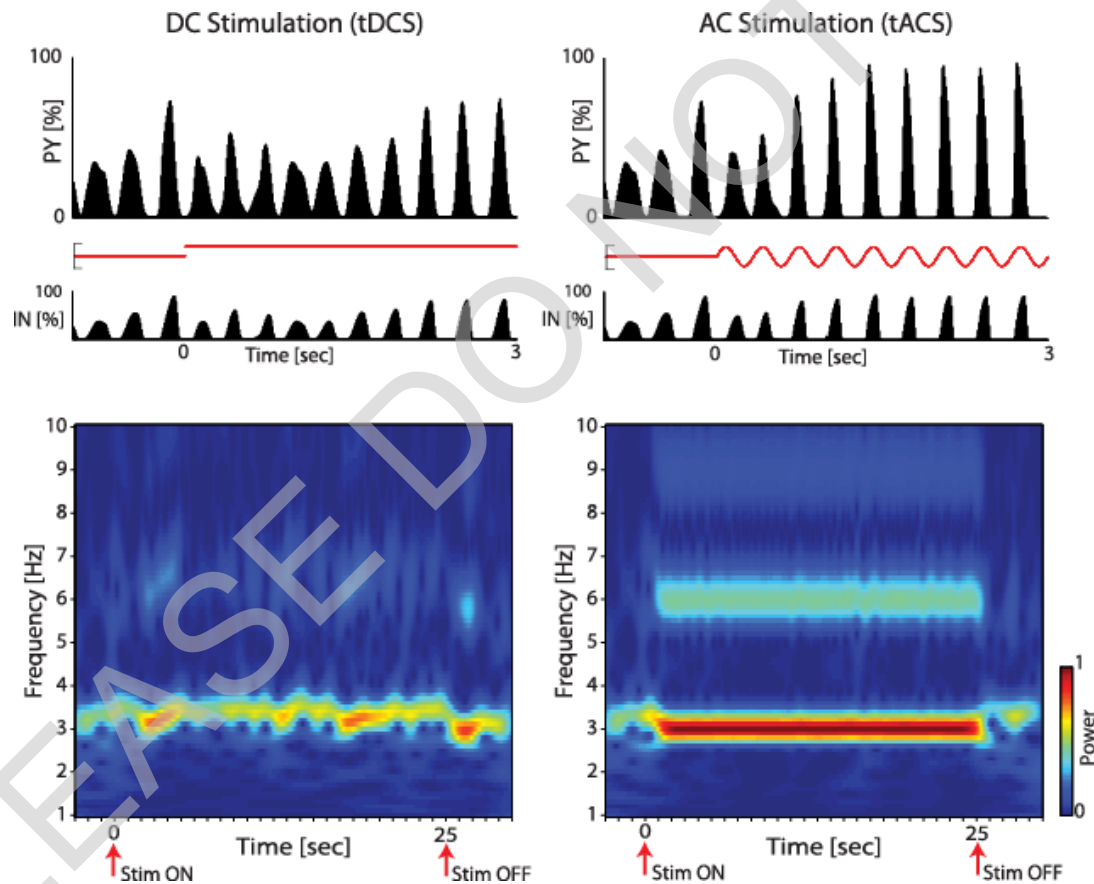
# SPIKING NEURAL MODEL (NETWORK)



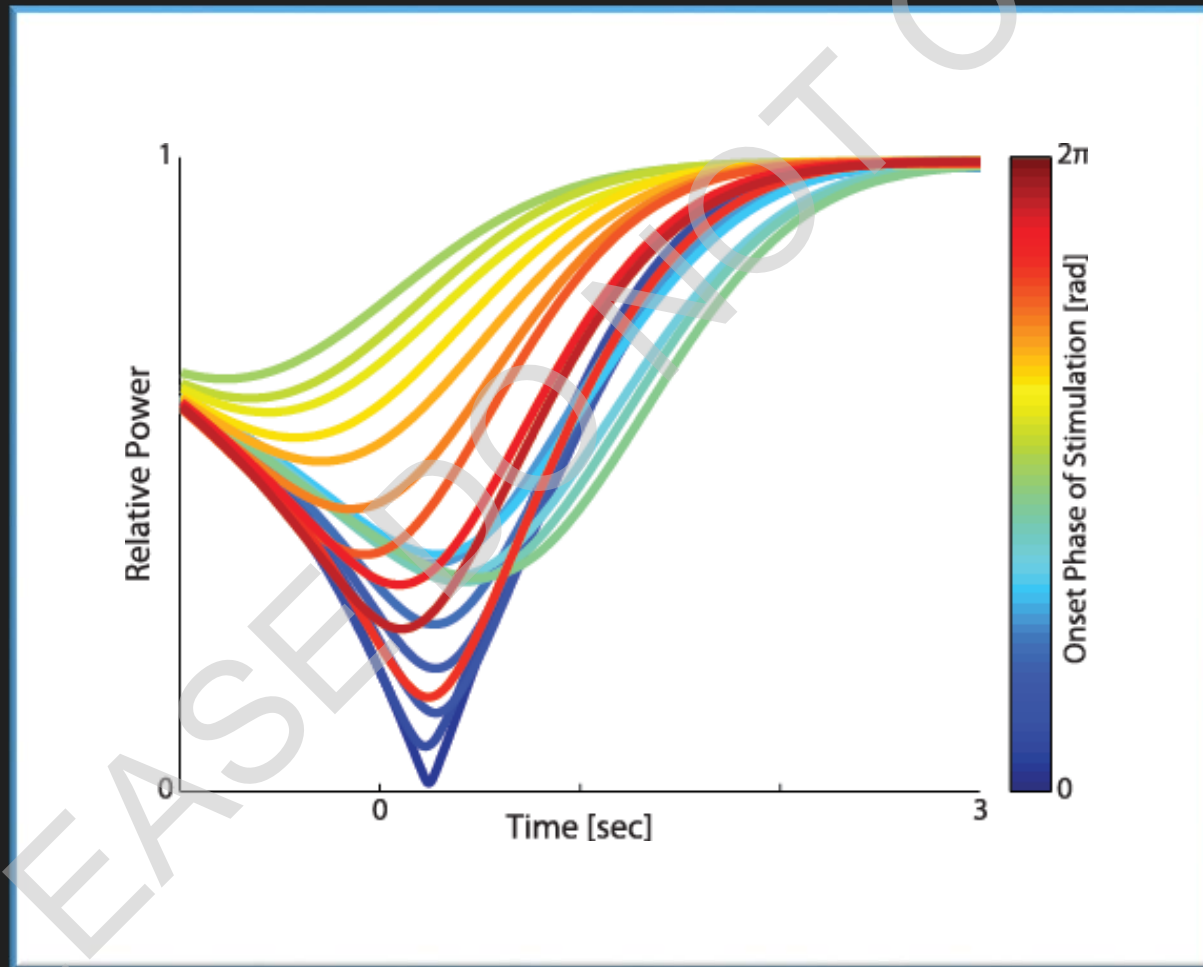
# SPATIO-TEMPORAL DYNAMICS



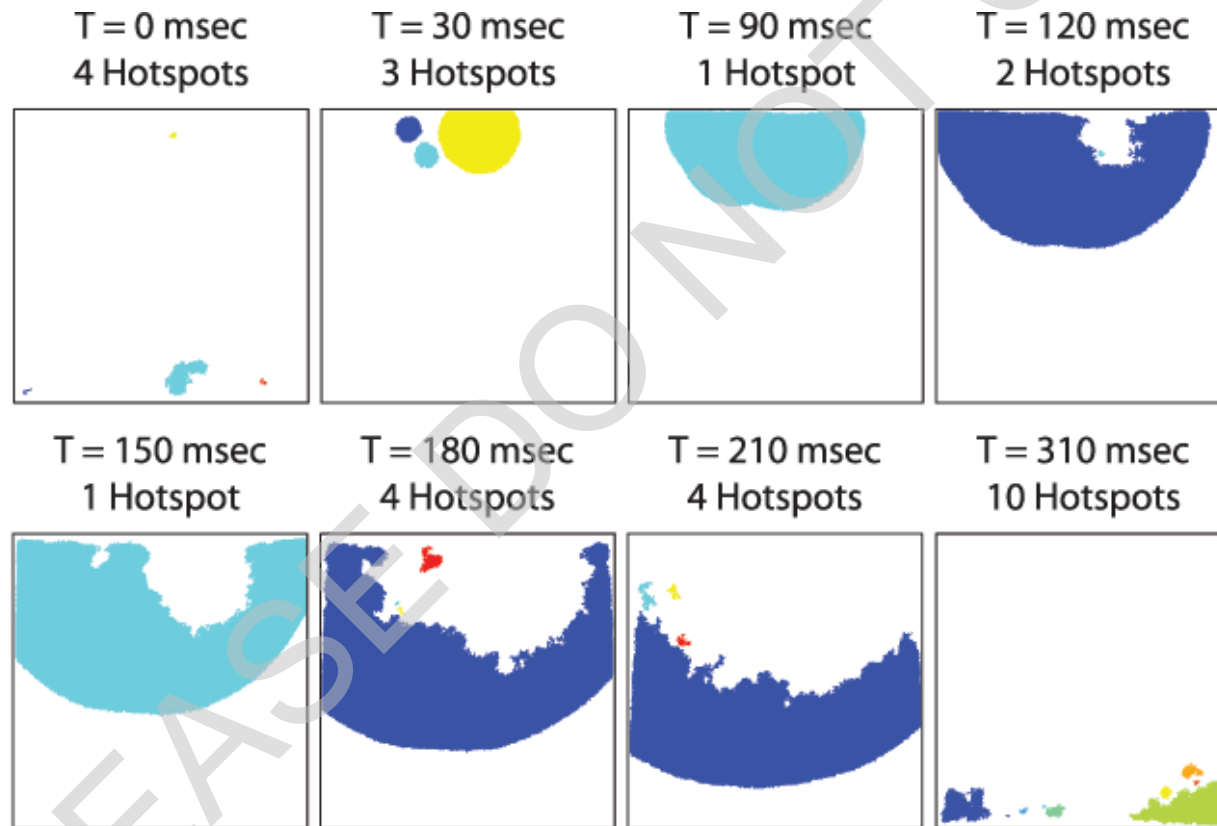
# AC⚡DC



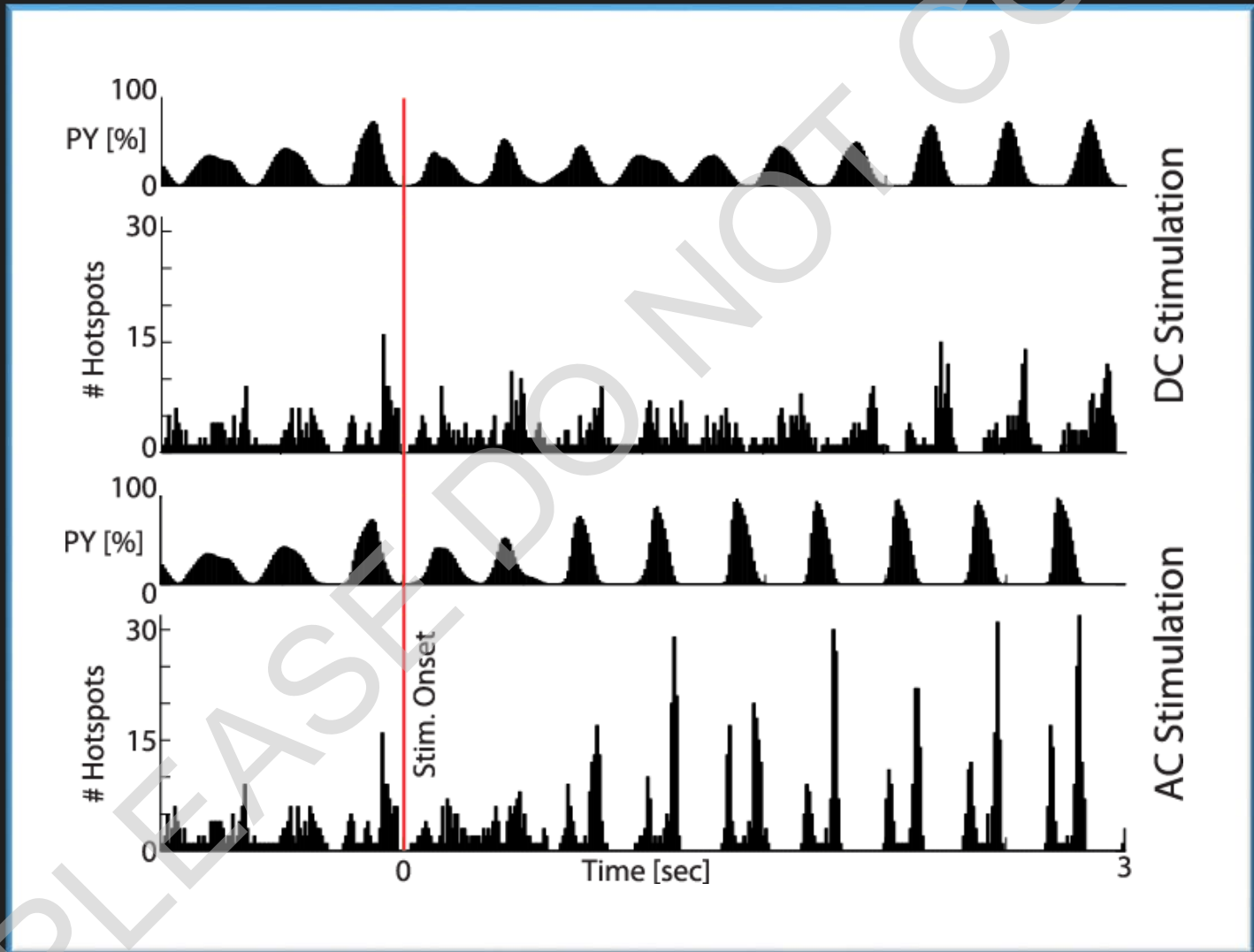
# STIMULATION PHASE



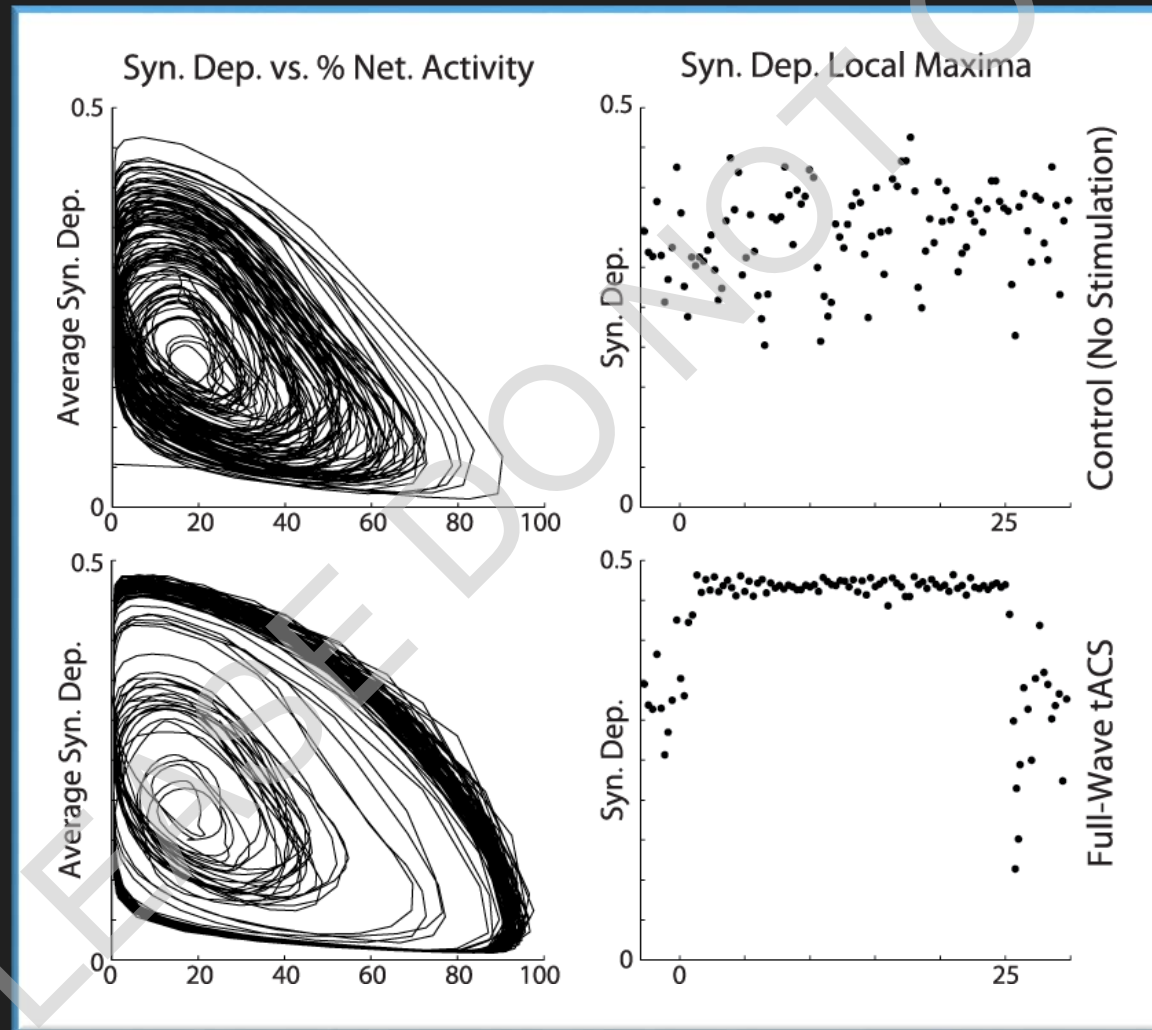
# HOTSPOTS



# NETWORK-LEVEL MECHANISM

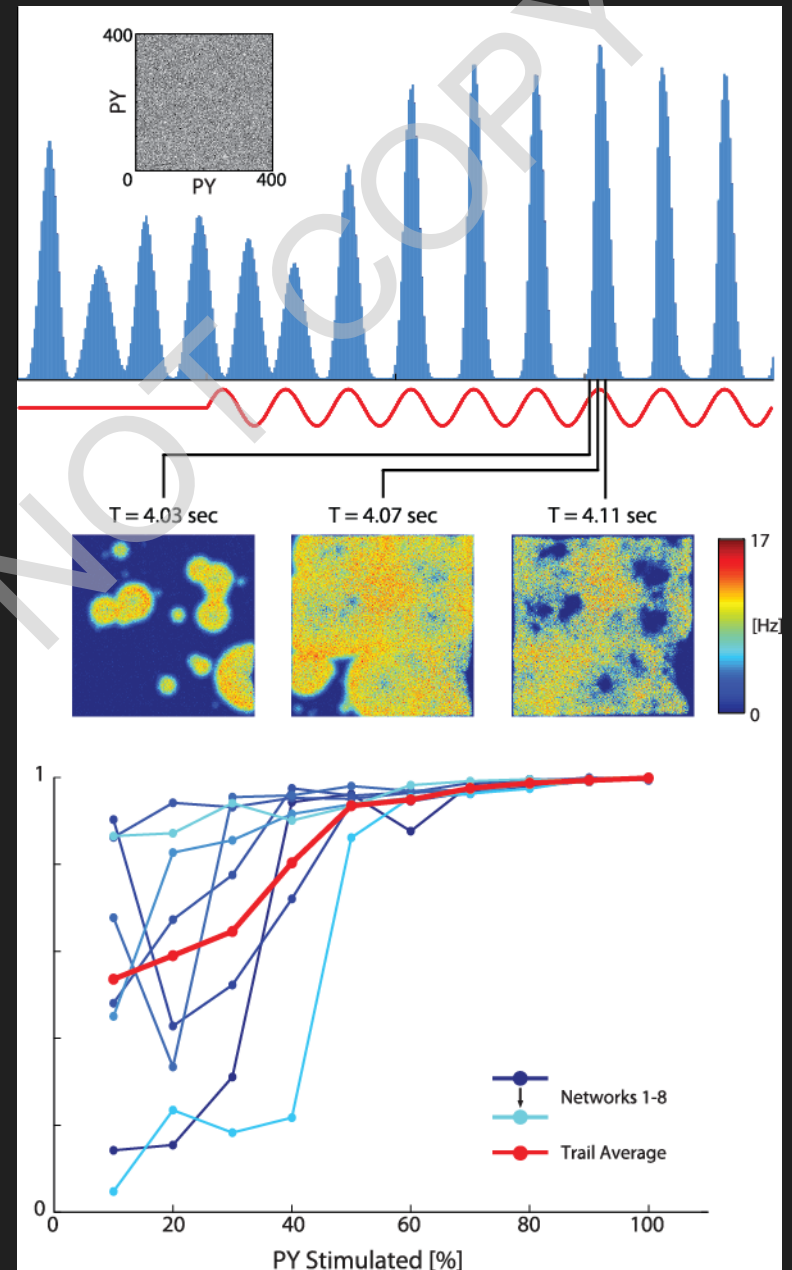


# CELLULAR-LEVEL MECHANISM

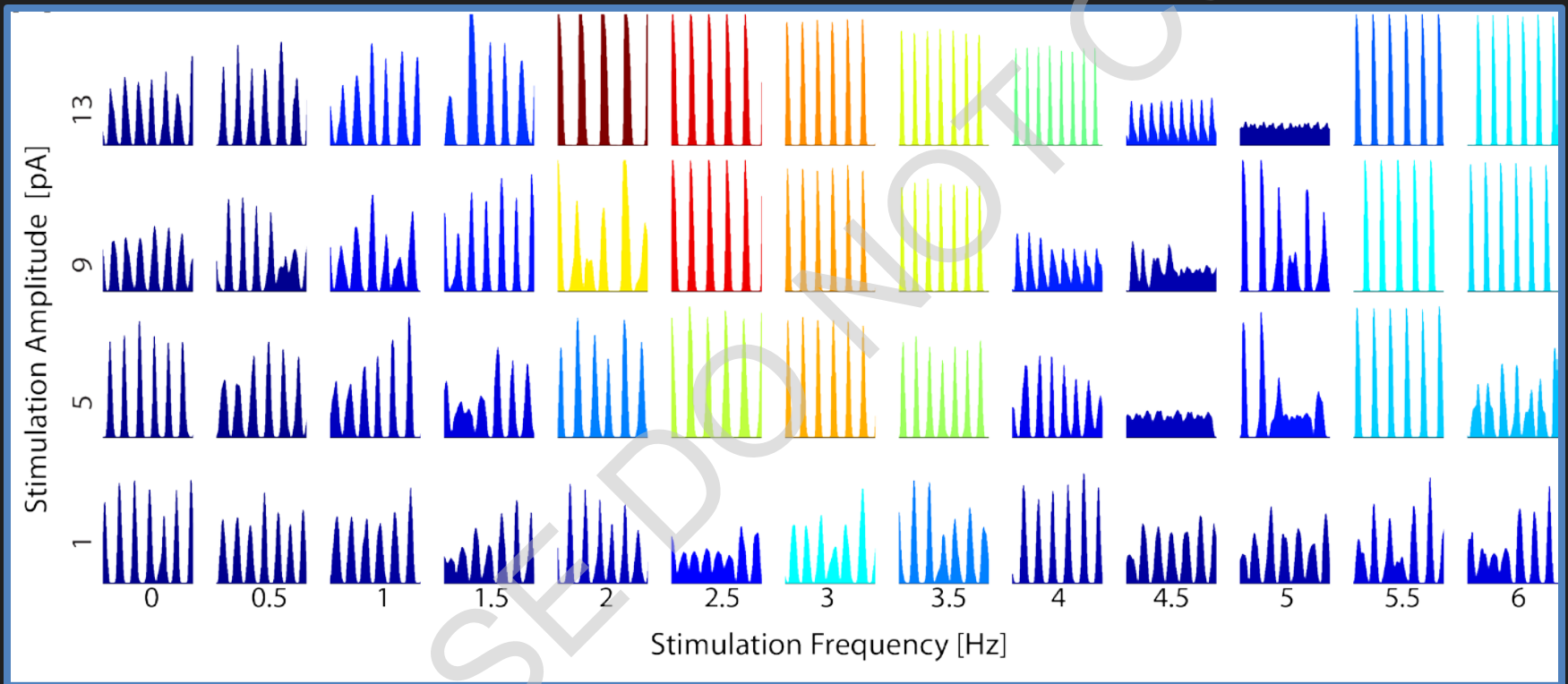




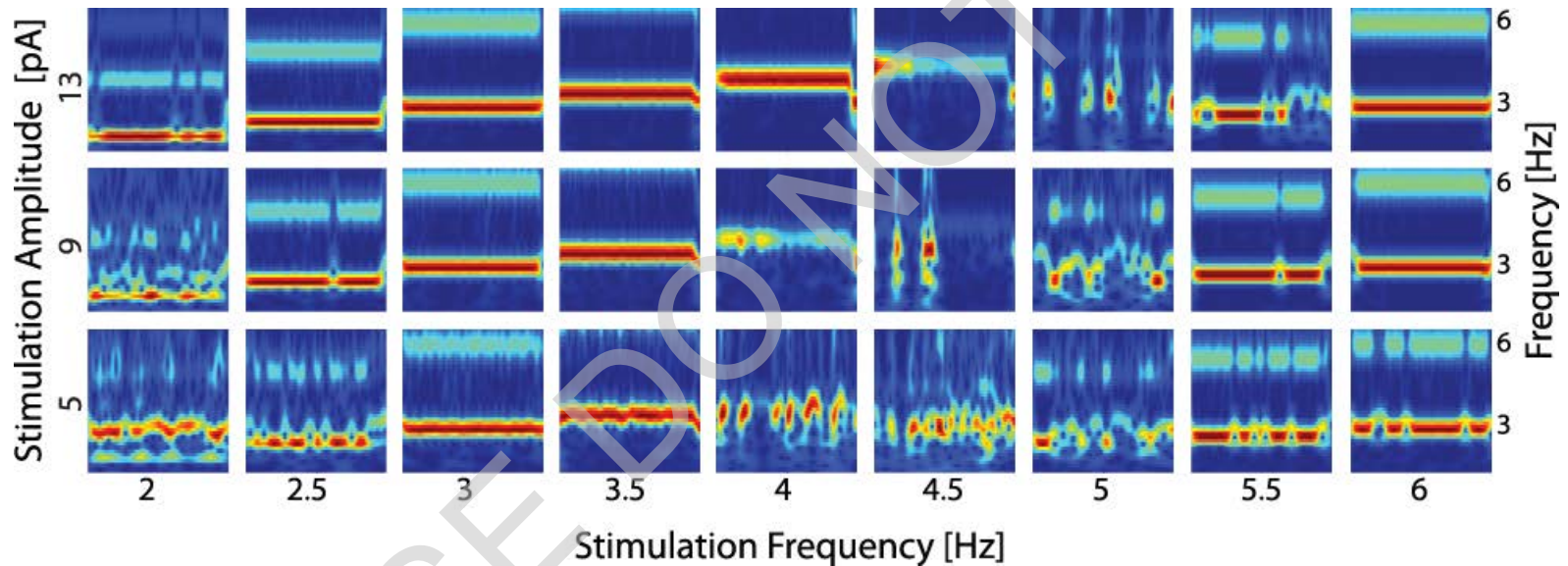
# TARGETING A SUBPOPULATION

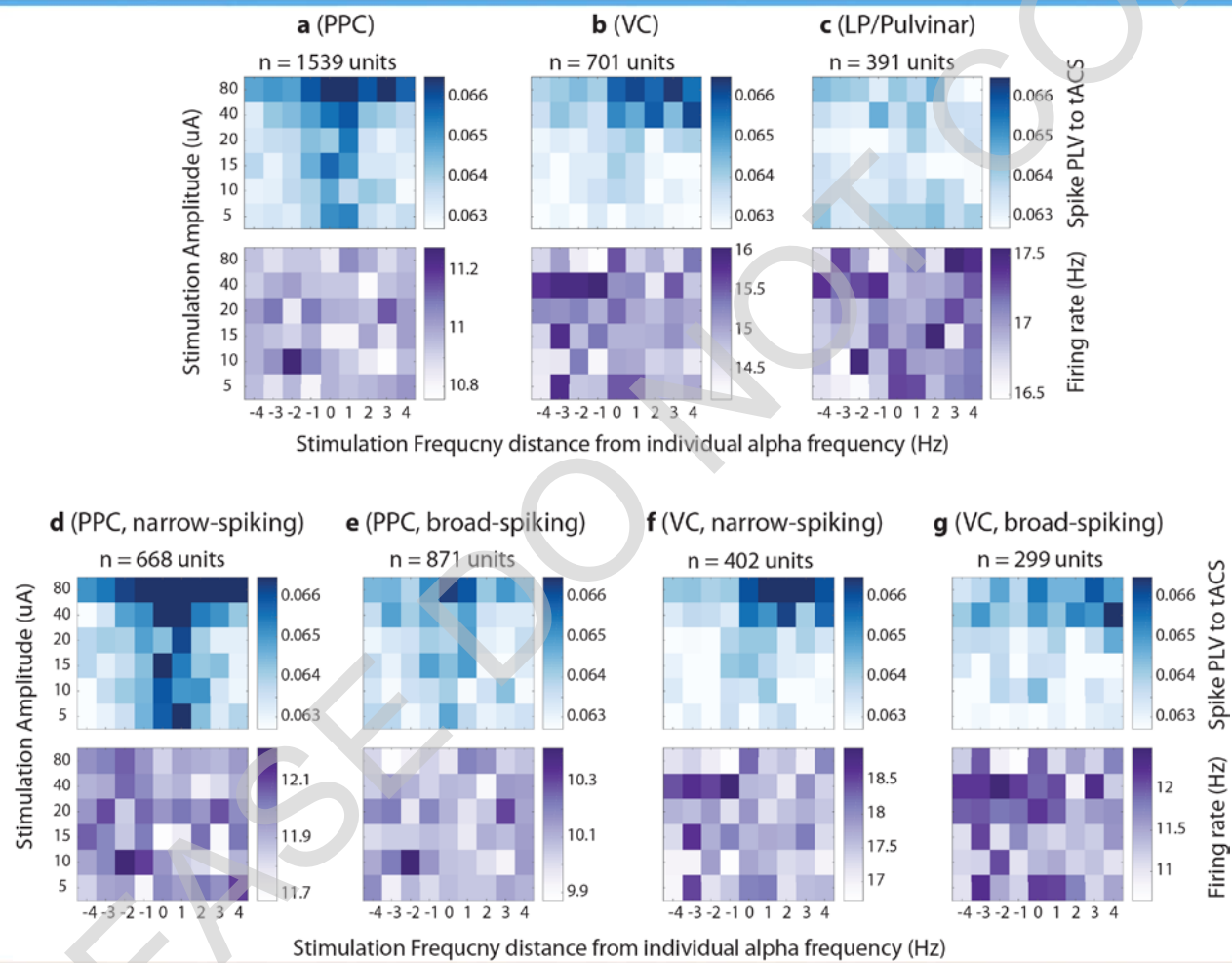


# NETWORK RESONANCE



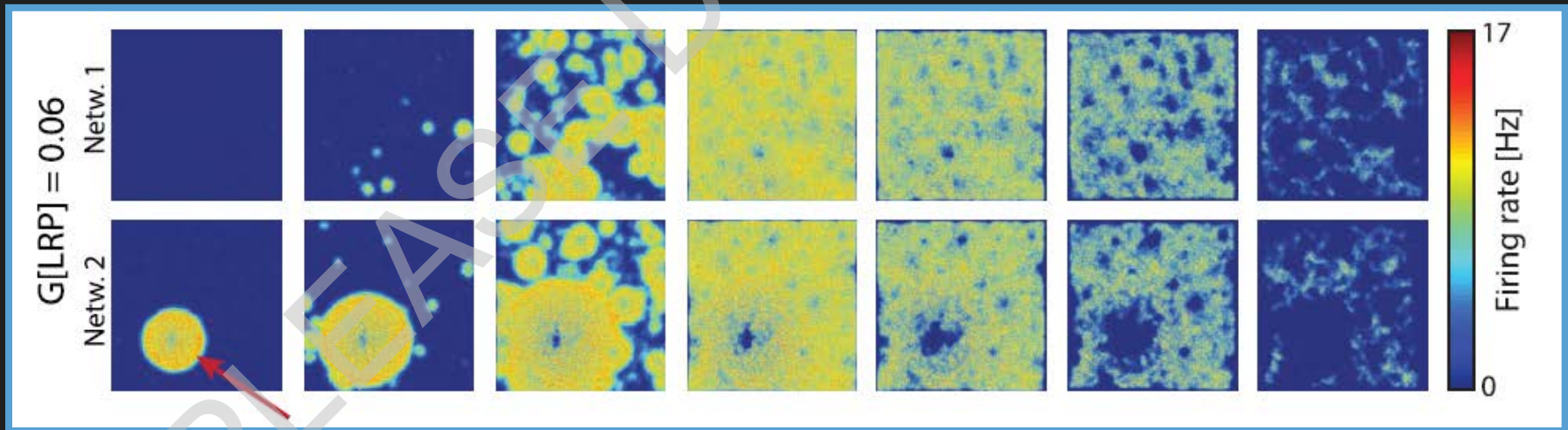
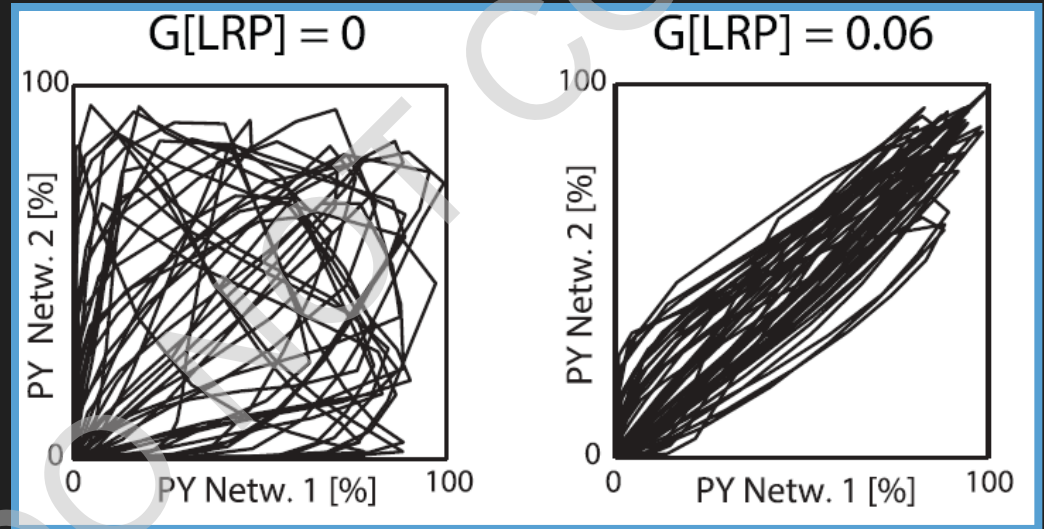
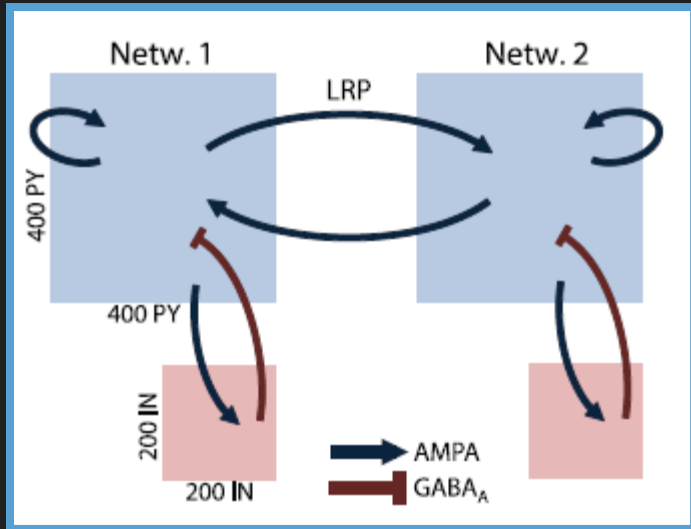
# PHASE SLIPPING



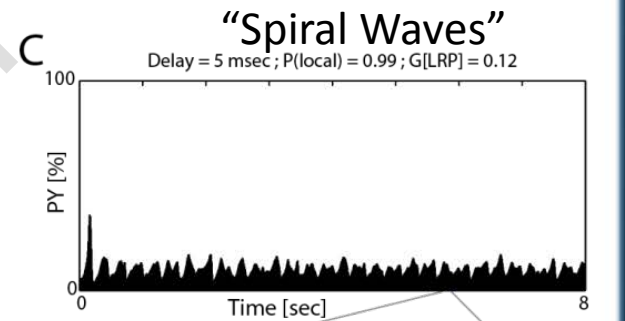
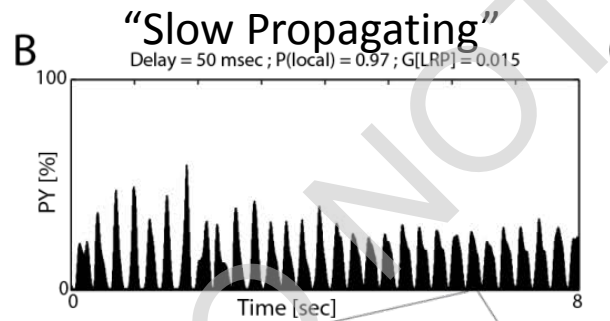
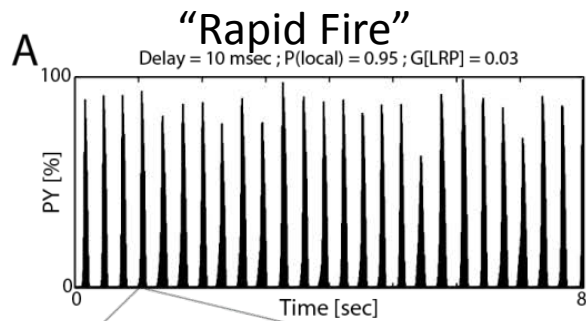


Negahbani et al. (2019, BioAxiv)

# INTERACTING NETWORKS

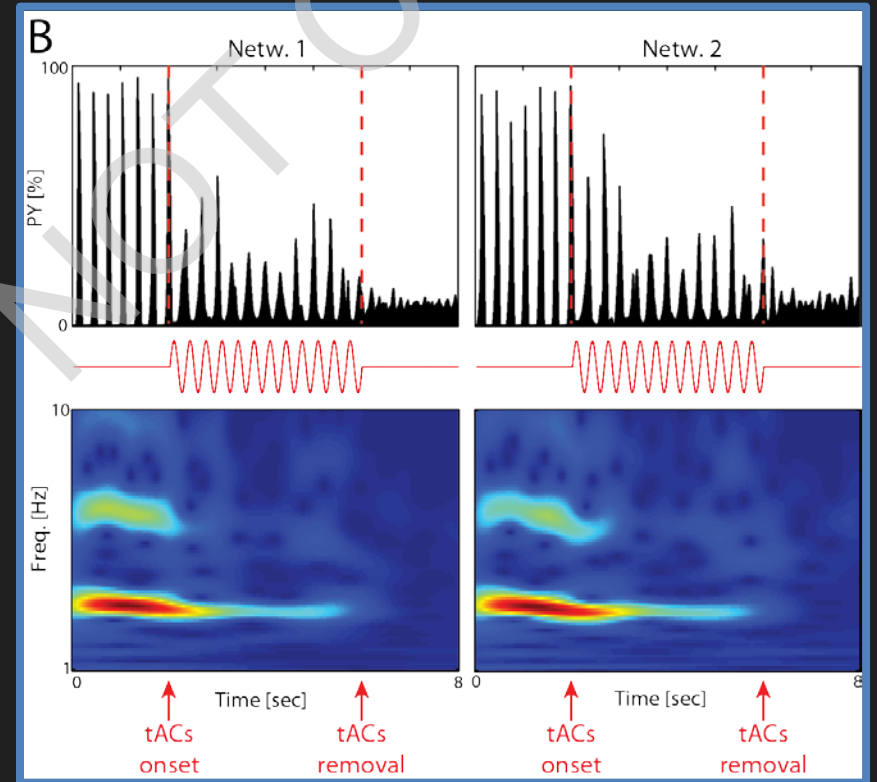
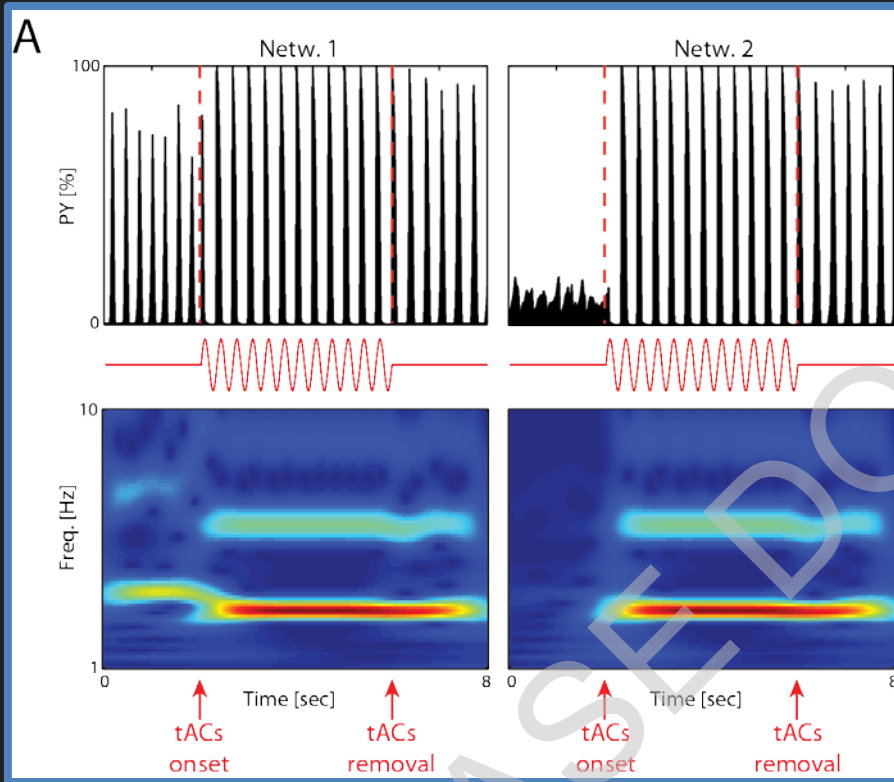


# MULTISTABILITY





# STATE SWITCHING BY tACS



Kutchko and Frohlich 2013

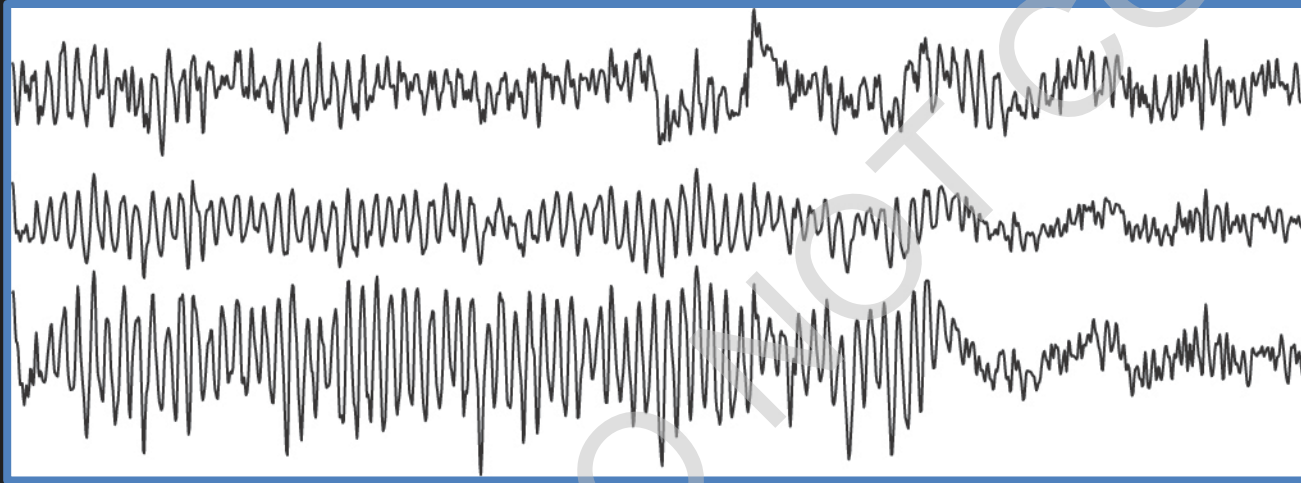
# Lesson #7

Complexity of brain dynamics  
requires computer simulations  
to understand target  
engagement.

#MultiStability



# TARGET: ALPHA OSCILLATIONS



- “Offline” state, long-range functional connectivity, gating.
- Neurofeedback, rTMS (10 Hz), tACS, others...

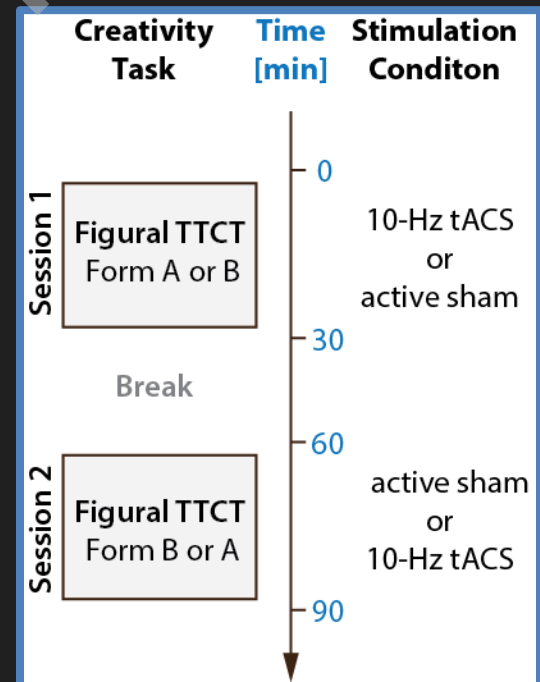
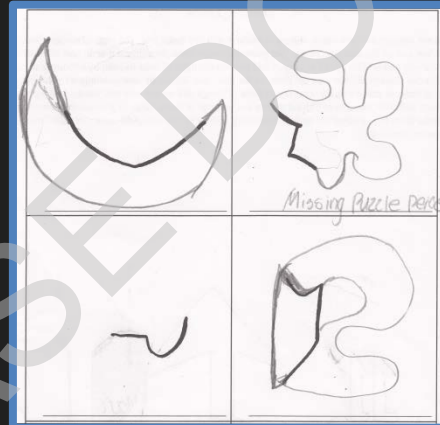
# COGNITIVE ENHANCEMENT

“increased alpha power during creative ideation is among the most consistent findings in neuroscientific research on creativity” (Fink and Benedek, 2010)

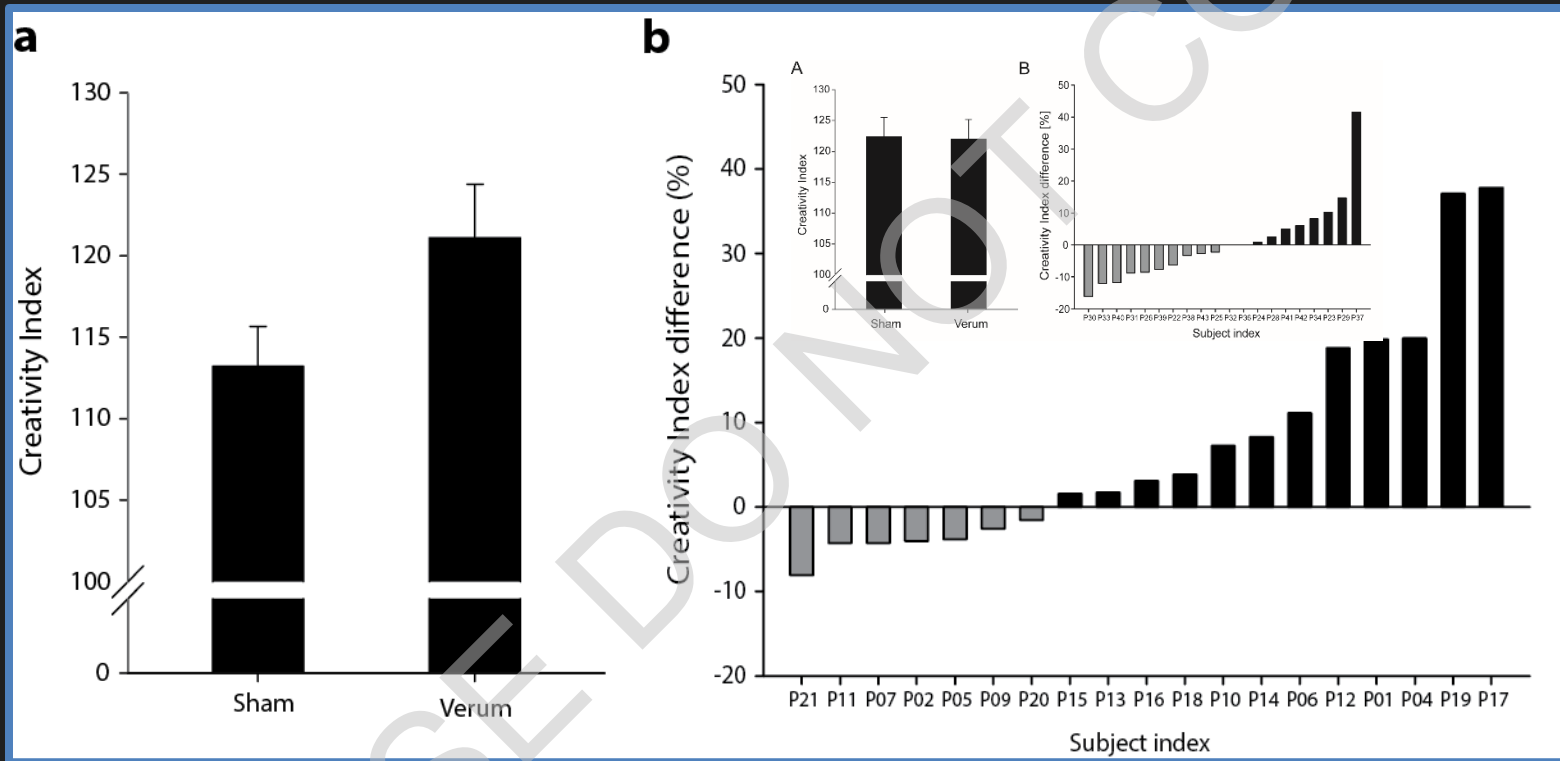
High Creative Ideation



Low Creative Ideation

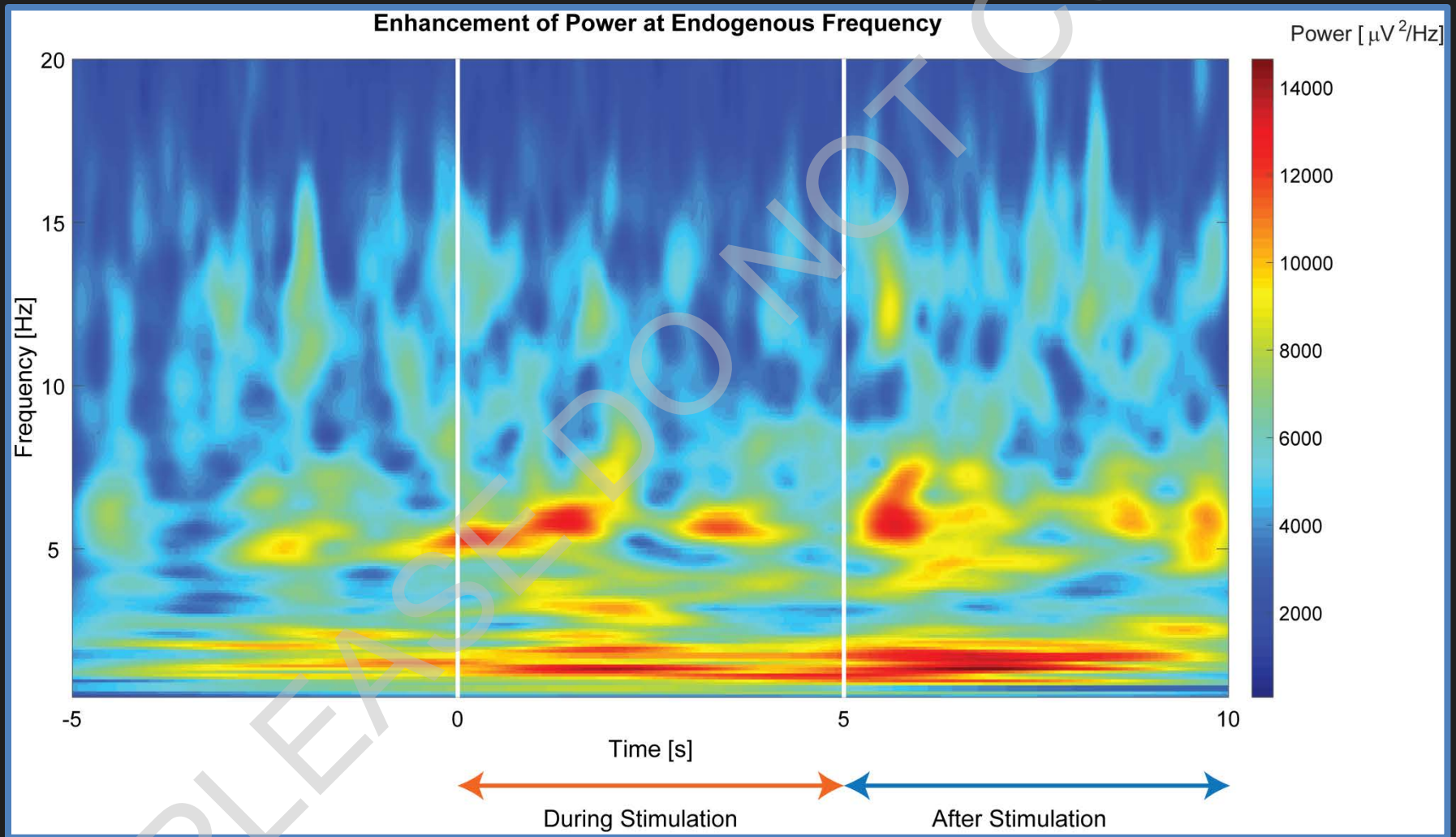


# ENHANCING CREATIVITY

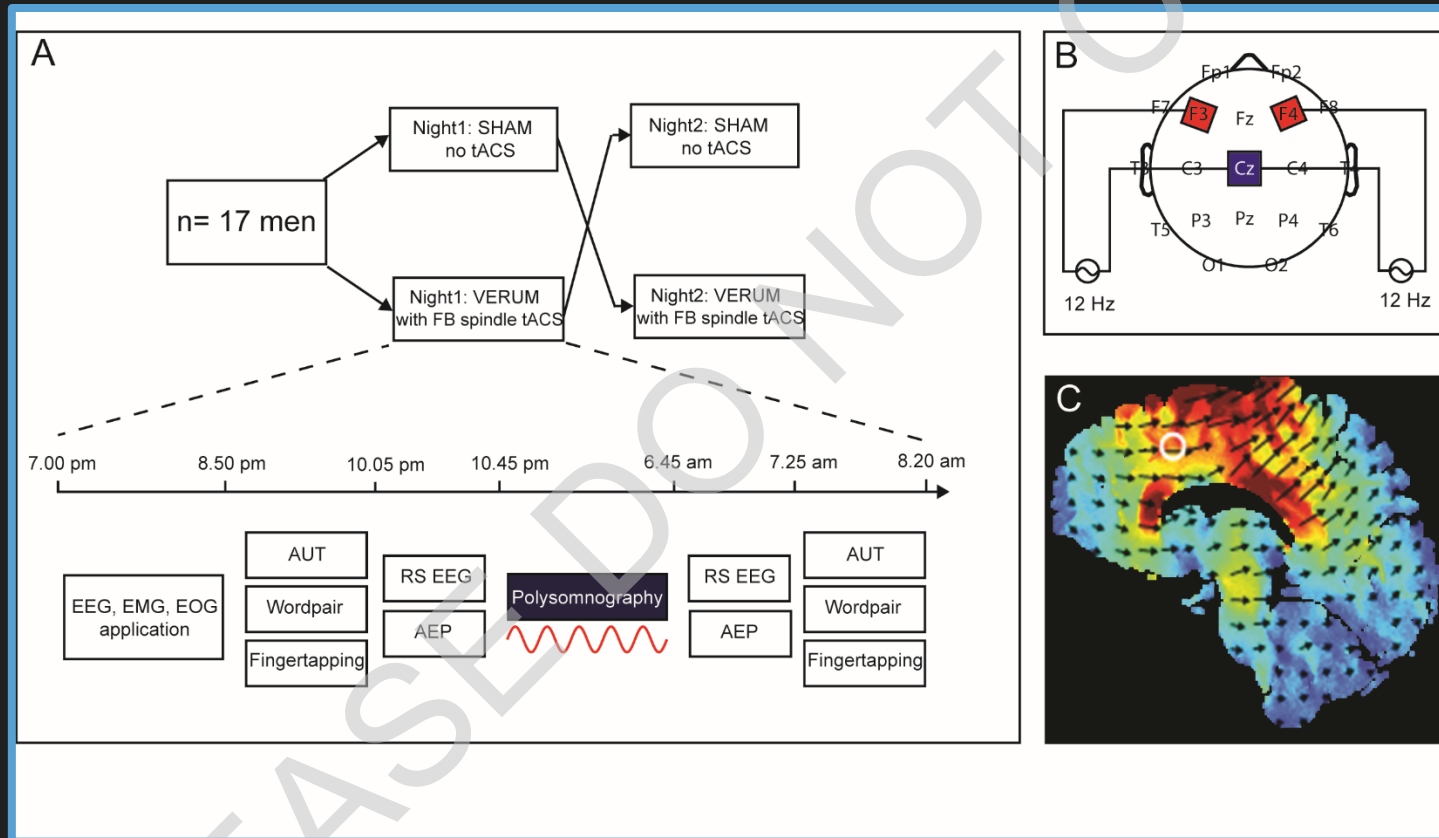


- Blinding was successful ( $p > 0.2$ ).
- 10 Hz tACS significantly enhances creativity as measured by the Torrance Test of Creative Thinking ( $7.45 \% \pm 3.11 \% \text{ S.E.M.}; F_{1,16} = 5.14, p = 0.036$ ).
- No enhancement with 40Hz-tACS.

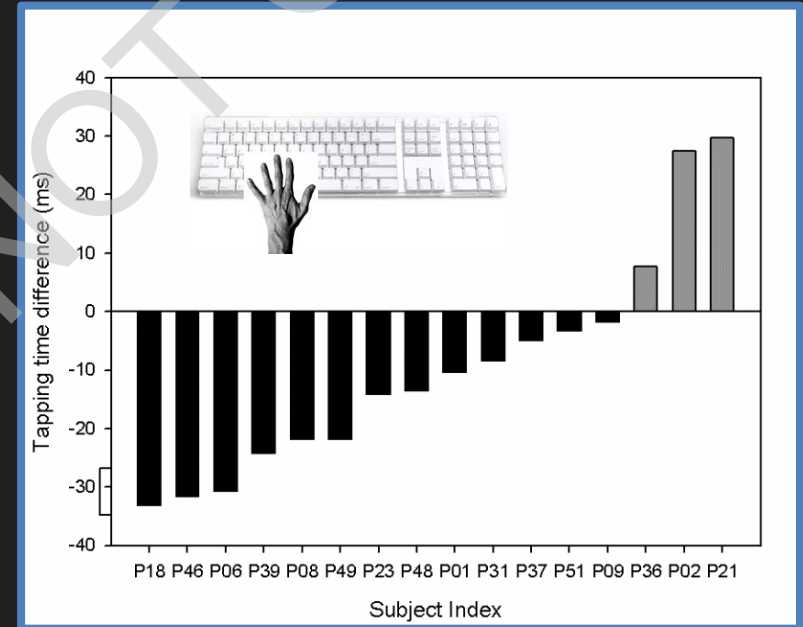
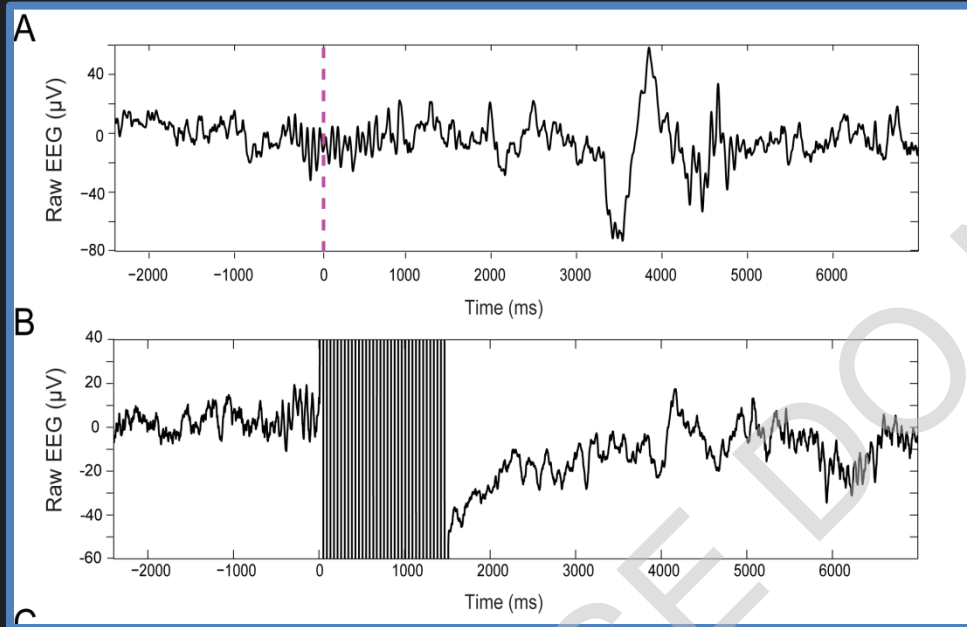
# OSCILLATION ENHANCEMENT



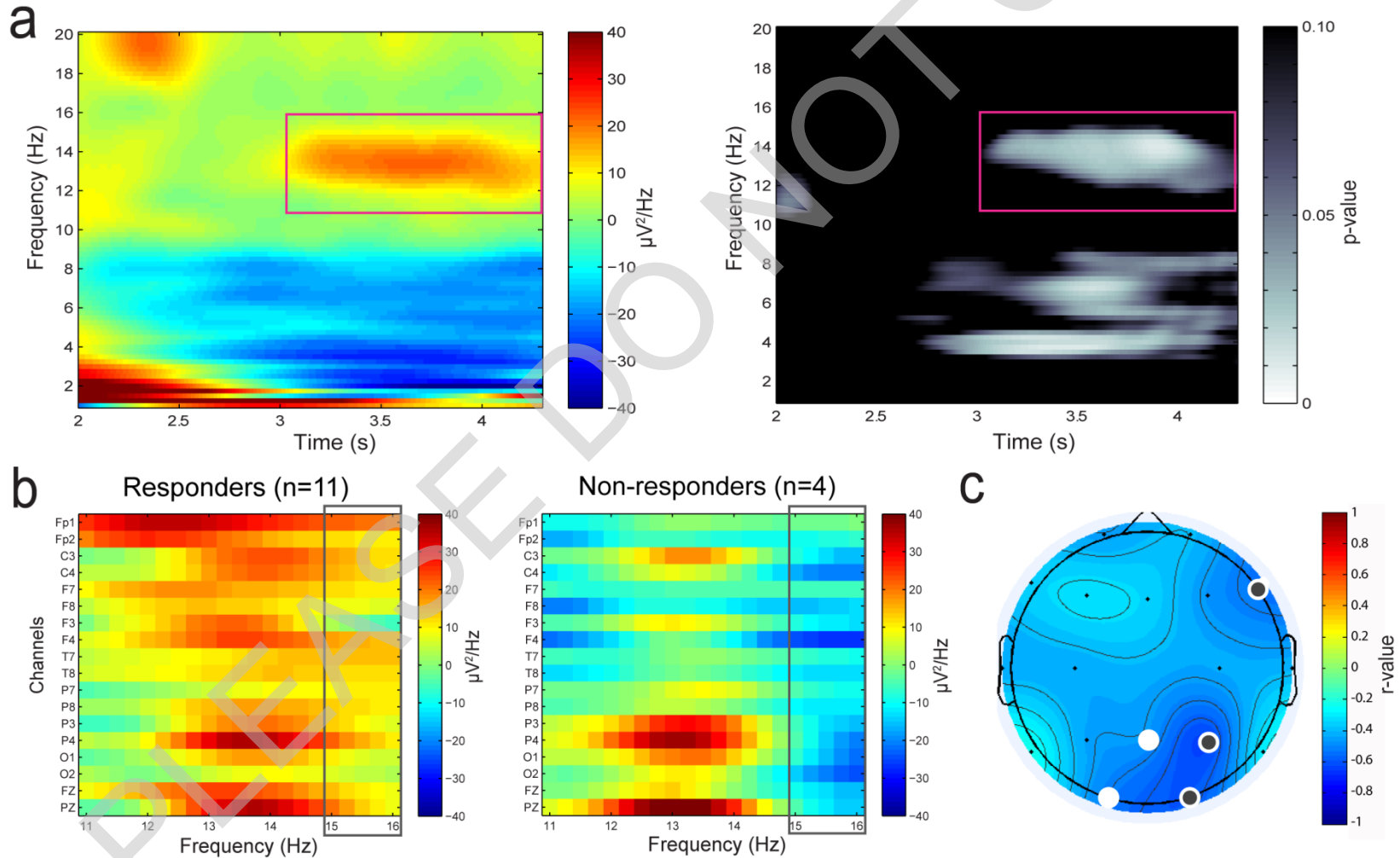
# FEEDBACK tACS TO MODULATE SLEEP SPINDLES



# IMPROVING MEMORY CONSOLIDATION



# TARGET ENGAGEMENT



# Lesson #8

Individualize with feedback stimulation to enhance target engagement.

#OMGWasThatASpindle



# SUMMARY: TARGETING NETWORK DYNAMICS

- Oscillations represent fundamental activity structure.
- tACS ideal to target cortical oscillations.
- Endogenous network dynamics represent oscillator to be modulated by weak periodic perturbations.
- Arnold Tongue: Necessity of individualizing stimulation frequency?
- Multistable dynamics: State-dependent stimulation effects.



# FLAVIO NETWORK

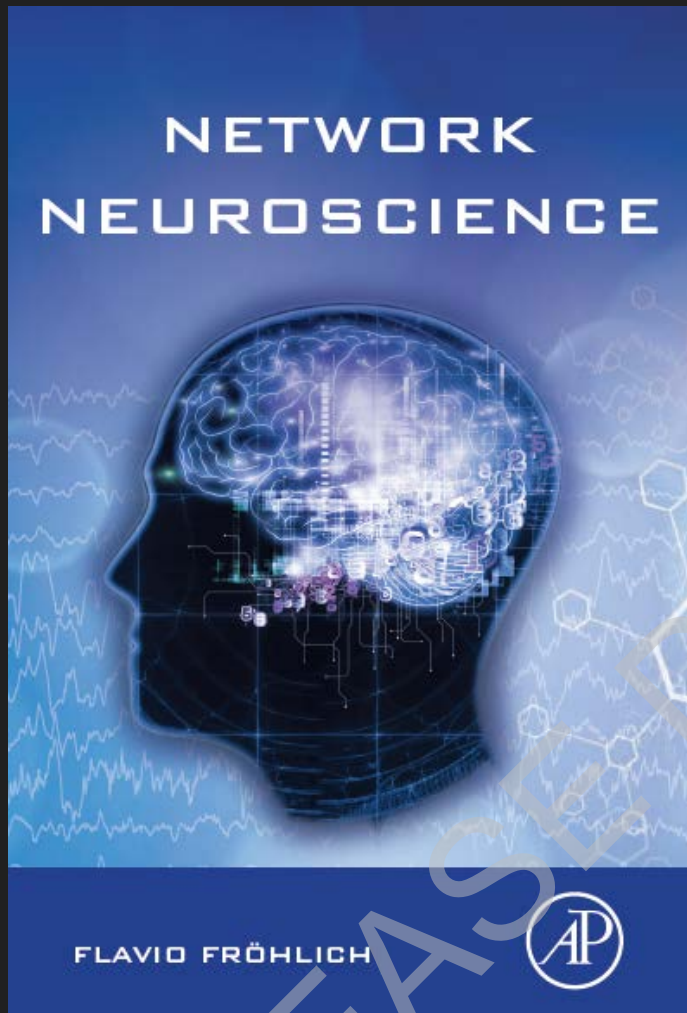


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Analyzing Brain Networks

Cortical Oscillations

Network Disorders

Toolboxes

Thank you for your attention.

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@FrohlichLab